



## **AMBAG Board of Directors Agenda**

**Association of Monterey Bay Area Governments**

**P.O. Box 2453, Seaside, California 93955-2453**

**Phone: (831) 883-3750**

**Fax: (831) 883-3755**

**Email: [info@ambag.org](mailto:info@ambag.org)**

**DATE: May 13, 2026**

**Time: 6:00 PM**

**LOCATION: Monterey Bay Air Resources District, Board Room, 3rd Floor**

**24580 Silver Cloud Court**

**Monterey, CA 93940**

**To participate virtually, use the following link:**

**Para participar de forma virtual, utilice el siguiente enlace:**

<https://us06web.zoom.us/j/89944095978?pwd=NN1udY8QapOarQ65Feq2wtoS7mD8lx.1>

**Or Telephone: US: +1 669 900 6833**

**Webinar ID: 899 4409 5978**

**Passcode: 578528**

*Members of the public who wish to address the AMBAG Board of Directors on an item to be considered at this meeting, can do so in person, virtually, or via email. Written public comment can be submitted at [info@ambag.org](mailto:info@ambag.org) or by emailing the Clerk of the Board at [aflores@ambag.org](mailto:aflores@ambag.org). The subject line should read "Public Comment for the May 13, 2026 Board of Directors Meeting." Written comments will be distributed to the AMBAG Board of Directors prior to the meeting.*

*Miembros del publico que desean dirigirse a la mesa directiva de AMBAG con respecto a cualquier asunto en esta agenda, pueden hacerlo en persona, de forma virtual o por correo electrónico. Los comentarios públicos por escrito pueden enviarse a [info@ambag.org](mailto:info@ambag.org) o al correo electrónico de la Secretaria, [aflores@ambag.org](mailto:aflores@ambag.org). El asunto del correo electrónico debe indicar: "Comentario público para la reunión de la mesa directiva del 13 de mayo de 2026". Los comentarios por escrito se distribuirán a los miembros de la mesa directiva de AMBAG antes de la reunión.*

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**AMBAG Board Member(s) Meeting Remotely:**

Manu Koenig:

5200 Soquel Avenue, Santa Cruz, CA 95062

831-234-3922

**1. CALL TO ORDER**

**2. PLEDGE OF ALLEGIANCE**

**3. JUST CAUSE ATTENDANCE**

**Recommended Action: INFORMATION**

- Maura Twomey, Executive Director

Receive oral report.

**4. ROLL CALL**

**5. ORAL COMMUNICATIONS FROM THE PUBLIC ON ITEMS NOT ON THE AGENDA  
(A maximum of two minutes on any subject not on the agenda)**

**6. ORAL COMMUNICATIONS FROM THE BOARD ON ITEMS NOT ON THE AGENDA**

**7. COMMITTEE REPORTS**

**A. Executive/Finance Committee**

**Recommended Action: INFORMATION**

- President McCarthy

Receive oral report.

**B. Monterey Bay National Marine Sanctuary Advisory Council Meeting**

**Recommended Action: DIRECT**

- President McCarthy

The next MBNMS SAC meeting is scheduled on May 15, 2026. (Page 5)

**8. EXECUTIVE DIRECTOR'S REPORT**

**Recommended Action: INFORMATION**

- Maura Twomey, Executive Director

Receive a report from Maura Twomey, Executive Director.

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**9. CONSENT AGENDA**

**Recommended Action: APPROVE**

**Note:** Actions listed for each item represents staff recommendation. The Board of Directors may, at its discretion, take any action on the items listed in the consent agenda.

**A. Draft Minutes of the March 11, 2026 AMBAG Board of Directors Meeting**

- Ana Flores, Clerk of the Board

Approve the draft minutes of the March 11, 2026 AMBAG Board of Directors meeting. (Page 7)

**B. AMBAG Regional Clearinghouse Monthly Newsletter**

- Regina Valentine, Senior Planner

Accept the clearinghouse monthly newsletter. (Page 13)

**C. AMBAG Sustainability Programs Update**

- Amaury Berteaud, Director of Sustainability Programs

Accept the AMBAG Sustainability Program update. (Page 21)

**D. Draft Amendment No. 2 to the FY 2025-26 Monterey Bay Region Overall Work Program (OWP) and Budget**

- Bhupendra Patel, Ph.D., Director of Modeling

Approve Draft Amendment No. 2 to the FY 2025-26 Monterey Bay Region Overall Work Program (OWP) and Budget. (Page 25)

**E. Annual Comprehensive Financial Report (ACFR) for Fiscal Year 2024-2025**

- Jessica Agee, Director of Finance & Administration

Accept the Annual Comprehensive Financial Report (ACFR) for Fiscal Year 2024-2025. (Page 27)

**F. Financial Update Report**

- Jessica Agee, Director of Finance & Administration

Accept the financial update report which provides an update on AMBAG's current financial position and accompanying financial statements. (Page 33)

**10. ITEMS REMOVED FROM CONSENT CALENDAR FOR DISCUSSION AND POSSIBLE ACTION**

## 11. ADMINISTRATION

### A. AB 2561: Public Hearing on Job Vacancies and Recruitment and Retention Efforts **Recommended Action: PUBLIC HEARING**

- Jessica Agee, Director of Finance & Administration

Receive a presentation on the status of vacancies and recruitment and retention and hold a public hearing to receive input on information presented. (Page 39)

### B. Draft FY 2026-27 Monterey Bay Region Overall Work Program (OWP) and Budget **Recommended Action: APPROVE**

- Bhupendra Patel, Ph.D., Director of Modeling

Approve the Draft FY 2026-27 Monterey Bay Region Overall Work Program (OWP) and Budget by adopting Resolution 2026-4. (Page 43)

## 12. ADJOURNMENT

### Reference Items:

- A. 2026 Calendar of Meetings (Page 77)
- B. Acronym Guide (Page 79)

### Next Meeting:

**Date: June 10, 2026**

**Location: MBARD Board Room, 24580 Silver Cloud Court, Monterey, CA 93940**

**Executive/Finance Committee Meeting: 5:15 PM**

**Board of Directors Meeting: 6:00 PM**

*If requested, the agenda or materials shall be made available in appropriate alternative formats to persons with a disability, as required by Section 202 of the Americans with Disabilities Act of 1990 (42 USC Sec. 12132), and the federal rules and regulations adopted in implementation thereof. If you have a request for disability-related modification or accommodation, including auxiliary aids or services, contact Ana Flores, AMBAG, 831-883-3750, or email [aflores@ambag.org](mailto:aflores@ambag.org) at least 48 hours prior to the meeting date. Pursuant to Government Code Section 54952.7, please find Chapter 9, also known as the Ralph M. Brown Act using the following link:*

[https://leginfo.legislature.ca.gov/faces/codes\\_displayText.xhtml?division=2.&chapter=9.&part=1.&lawCode=GOV&title=5](https://leginfo.legislature.ca.gov/faces/codes_displayText.xhtml?division=2.&chapter=9.&part=1.&lawCode=GOV&title=5)

*Si se solicita, la agenda y materiales estarán disponibles en formatos alternativos apropiados para personas con discapacidad, requerido por Sección 202 de la Ley de Estadounidenses con Discapacidades de 1990 (42 USC, Sec. 12132) y las normas y reglamentos federales adoptados para su implementación. Si necesita alguna modificación o adaptación relacionada con una discapacidad, incluyendo ayudas o servicios auxiliares, comuníquese con Ana Flores, AMBAG, al 831-883-3750 o envíe un correo electrónico a [aflores@ambag.org](mailto:aflores@ambag.org) con al menos 48 horas de anticipación a la fecha de la reunión de la junta directiva. De conformidad con la Sección 54952.7 del Código de Gobierno, por favor consulte el Capítulo 9, también conocido como la Ley Ralph M. Brown. [https://leginfo.legislature.ca.gov/faces/codes\\_displayText.xhtml?division=2.&chapter=9.&part=1.&lawCode=GOV&title=5](https://leginfo.legislature.ca.gov/faces/codes_displayText.xhtml?division=2.&chapter=9.&part=1.&lawCode=GOV&title=5)*

**Monterey Bay National Marine Sanctuary Advisory Council  
Meeting Draft Agenda**

**May 15, 2026**

**Coastal Unified School District Board Room  
1350 Main Street  
Cambria, California**

**\*\*\*All times are estimates\*\*\***

|                 |   |   |
|-----------------|---|---|
| <b>8:30 AM</b>  | <b>Coffee and Check-in</b>  |   |
| <b>9:00 AM</b>  | <b>Call to Order</b> <ul style="list-style-type: none"> <li>• Welcome from San Luis Obispo Citizen At Large, Christine Heinrichs</li> <li>• Welcome from Cambria Chamber of Commerce</li> <li>• Swear in new members</li> <li>• Roll Call</li> <li>• Approval of February 21, 2026 meeting minutes</li> </ul> | <i>Pamela Neeb Wade,<br/>Chair</i><br><br><i>Dan Brumbaugh,<br/>Secretary</i><br><br><i>Dawn Hayes, MBNMS</i> |
| <b>9:45 AM</b>  | <b>Standing Item: Superintendent's Report</b><br>A report on important or newsworthy activities conducted by staff since the last AC meeting. <ul style="list-style-type: none"> <li>• MBNMS</li> <li>• CHNMS</li> </ul>  | <i>Dawn Hayes, MBNMS</i><br><br><i>Paul Michel, CHNMS</i>   |
| <b>10:35 AM</b> | <b>Informational/Action Item: ONMS Presentation</b><br>High level updates from ONMS Director.   | <i>John Armor, ONMS<br/>Director</i>  |
| <b>11:05 AM</b> | <b>15 min Break</b>   |   |
| <b>11:20 AM</b> | <b>Public Comment for Items <u>Not</u> on the Agenda</b>  | <i>Pamela Neeb Wade,<br/>Chair</i>  |
| <b>11:40 AM</b> | <b>Informational Item: Ocean Guardian Program</b><br>Background on the Ocean Guardian Program with a focus on schools in the Cambria area.  | <i>Alyssa Leadingham,<br/>MBNMS</i>   |
| <b>12:10 PM</b> | <b>Lunch</b><br>Sponsored by California Marine Sanctuary Foundation   |   |
| <b>12:55 PM</b> | <b>Informational Item: Southern Region Update</b><br>Update on the Southern Region of MBNMS and the Coastal Discovery Center activities.  | <i>Sophia Barwegen,<br/>MBNMS</i>   |
| <b>1:25 PM</b>  | <b>Informational Item: Management Plan Update</b><br>Update on management plan progress and next steps in management review process.  | <i>Dawn Hayes &amp;<br/>Seaberry Nachbar,<br/>MBNMS</i>   |
| <b>2:10 PM</b>  | <b>Action Item: Maritime Industry/Offshore Energy Seat</b><br>Working group recommendations for potential new seat, potentially followed by a council vote.   | <i>TBD (working group<br/>chair)</i>  |
| <b>2:40 PM</b>  | <b>Working Group Reports and Member Announcements</b><br>Working Group Reports and Time Sensitive Announcements   | <i>All</i>  |
| <b>3:00 PM</b>  | <b>Adjourn</b><br>Next Meeting: August 21, 2026<br>Location: Salinas, California  |   |

- Meetings are recorded for administrative purposes. For any questions or concerns, please contact Robbin Porter at [robbin.porter@noaa.gov](mailto:robbin.porter@noaa.gov)
- Public comment on agenda items will be heard prior to AC discussion/deliberation
- Materials corresponding to items on this agenda are available: <http://montereybay.noaa.gov/sac/sacma.html> or contact [Robbin.Porter@noaa.gov](mailto:Robbin.Porter@noaa.gov)

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**DRAFT MINUTES OF THE PROCEEDINGS  
OF THE BOARD OF DIRECTORS OF THE  
ASSOCIATION OF MONTEREY BAY AREA GOVERNMENTS**

**March 11, 2026**

**1. CALL TO ORDER**

The Board of Directors of the Association of Monterey Bay Area Governments, President McCarthy presiding, convened at 6:02 p.m. March 11, 2026 at the MBARD Board Room, 24580 Silver Cloud Court, Monterey, CA 93940.

**2. PLEDGE OF ALLEGIANCE**

**3. JUST CAUSE ATTENDANCE**

None.

**4. ROLL CALL**

| <u>AMBAG Board of Directors</u> |                          |                            |                       |
|---------------------------------|--------------------------|----------------------------|-----------------------|
| <b>PRESENT:</b>                 |                          |                            |                       |
| <b>Agency</b>                   | <b>Representative</b>    | <b>Agency</b>              | <b>Representative</b> |
| Carmel-by-the-Sea               | Hans Buder               | County of Monterey         | Glenn Church          |
| Del Rey Oaks                    | John Uy                  | County of Santa Cruz       | Felipe Hernandez      |
| Gonzales                        | Scott Funk               | County of Santa Cruz       | Manu Koenig           |
| Hollister                       | Rudy Picha               |                            |                       |
| Marina                          | Brian McCarthy           | <u>Ex-Officio Members:</u> |                       |
| Monterey                        | Jean Rasch               | 3CE                        | Inaara Muhammad       |
| Pacific Grove                   | Lori McDonnell           | Caltrans, District 5       | John Olejnik          |
| Sand City                       | Mary Ann Carbone         | MBARD                      | David Frisbey         |
| Scotts Valley                   | Derek Timm               | MPAD                       | Mary Ann Leffel       |
| Seaside                         | Alex Miller              | MST                        | Lisa Rheinheimer      |
| Watsonville                     | Eduardo Montesino        | SBtCOG                     | Samuel Borick         |
|                                 |                          | TAMC                       | Christina Watson      |
| <b>ABSENT:</b>                  |                          |                            |                       |
| Capitola                        | Susan Westman            | <u>Ex-Officio Members:</u> |                       |
| Greenfield                      | Rachel Ortiz             | SCCRTC                     | Sarah Christensen     |
| King City                       | Oscar Avalos             | SC Metro                   | Corey Aldridge        |
| Salinas                         | Margaret D'Arrigo        |                            |                       |
| San Juan Bautista               | Jose Aranda              |                            |                       |
| Santa Cruz                      | Scott Newsome            |                            |                       |
| Soledad                         | Fernando Ansaldo-Sanchez |                            |                       |
| County of Monterey              | Kate Daniels             |                            |                       |
| County of San Benito            | Mindy Sotelo             |                            |                       |
| County of San Benito            | Angela Curro             |                            |                       |

**Others Present:** Greg Wimp, City of Scotts Valley; Amaury Berteaud, Director of Sustainability Programs; Heather Adamson, Director of Planning; Will Condon, Associate Planner; Gina Schmidt, GIS Coordinator; Chris Duymich, Senior Planner; Paul Hierling, Principal Planner; Bhupendra Patel, Director of Modeling; Regina Valentine, Senior Planner; Giselle Wendt, Sustainability Programs

Associate; Elizabeth Lipka, Administrative Assistant; Ana Flores, Clerk of the Board; and Maura Twomey, Executive Director.

## **5. 2026 BOARD AND COMMITTEE APPOINTMENTS**

The 2026 Board and Committee appointments were approved.

**Motion made by Director Carbone, seconded by Director Montesino to approve the 2026 Board and Committee Appointments. Motion passed unanimously.**

## **6. ORAL COMMUNICATIONS FROM THE PUBLIC ON ITEMS NOT ON THE AGENDA**

None.

## **7. ORAL COMMUNICATIONS FROM THE BOARD ON ITEMS NOT ON THE AGENDA**

Director Funk reported that the Sidd Nag has been appointed Executive Director of CalVans.

## **8. COMMITTEE REPORTS**

### **A. Executive/Finance Committee**

President McCarthy reported that the Executive/Finance Committee approved the consent agenda that included 1) Ralph M. Brown Act teleconferencing resolution; 2) Minutes of the January 14, 2026 meeting 2) list of warrants as of December 31, 2025; and 3) accounts receivable as of December 31, 2025. The Executive/Finance Committee also received a report on the financials from Maura Twomey, Executive Director.

### **B. Monterey Bay National Marine Sanctuary (MBNMS) Advisory Council (SAC) Meeting**

President McCarthy reported that the Advisory Council received an overview of the MBNMS Management Plan. The SAC received presentations on 1) the MBNMS Advisory Council annual report; 2) a new MBNMS ocean conditions tracking tool; and 3) the Iconic Kelp Action Plan and interview results on priority kelp beds.

## **9. EXECUTIVE DIRECTOR'S REPORT**

Maura Twomey, Executive Director announced that Regina Valentine, AMBAG Senior Planner, completed the California Academy for Regional Leaders. The Leadership Academy is sponsored by CALCOG and designed to help professionals in regional government develop and enhance their leadership skills.

## **10. CONSENT AGENDA**

### **A. Ralph M. Brown Act Teleconferencing Resolution**

Resolution 2026-1 to enable the multijurisdictional body provisions of Government Code Section 54953.8.7 authorizing teleconferencing for meetings was approved.

**B. Draft Minutes of the January 14, 2026 AMBAG Board of Directors Meeting**

The draft minutes of the January 14, 2026 AMBAG Board of Directors meeting were approved.

**C. AMBAG Regional Clearinghouse Monthly Newsletter**

The AMBAG Clearinghouse monthly newsletter was accepted.

**D. AMBAG Sustainability Program Update**

The Sustainability Program update was accepted.

**E. Formal Amendment No. 10 to the Monterey Bay Metropolitan Transportation Improvement Program (MTIP): FFY 2024-25 to FFY 2027-28**

The Formal Amendment No. 10 to the Monterey Bay Metropolitan Transportation Improvement Program (MTIP): FFY 2024-25 to FFY 2027-28 was approved.

**F. Disposal of Surplus Property**

The disposal of surplus property was approved.

**G. AMBAG Line of Credit Renewal and Extension**

The request for renewal of the \$100,000 line of credit and extension of the maturity date of the loan was approved.

**H. Amendment No. 3 to the Office Space Lease between the Monterey Bay Unified Air Pollution Control District (dba Monterey Bay Air Resources District) and AMBAG**

Amendment No. 3 to the Office Space Lease between the Monterey Bay Unified Air Pollution Control District (dba Monterey Bay Air Resources District) and AMBAG was approved.

**I. Financial Update Report**

The financial update report was accepted.

**Motion made by Director Funk, seconded by Director Miller to approve the consent agenda. Motion passed unanimously.**

**11. ITEMS REMOVED FROM CONSENT CALENDAR FOR DISCUSSION AND POSSIBLE ACTION**

None.

## **12. ADMINISTRATION**

### **A. Draft FY 2026-27 Monterey Bay Region Overall Work Program (OWP) and Budget**

Bhupendra Patel, Director of Modeling gave a presentation on the draft FY 2026-27 OWP and Budget. The FY 2026-2027 OWP is 1) a federally required document to receive federal funds; 2) covers all AMBAG work programs and activities; 3) used as a project management tool by staff; and 4) implements the AMBAG Board adopted priorities; a) Performance Base Planning; b) Coordination with Federal Land Management Agency (FLMA); c) Coordination with Transit Operators; d) Modeling and Research, e) Planning and Forecasts; f) Sustainable Development Strategies; and g) Collaborative Planning and Implementation. Dr. Patel added that the final draft will be brought back to the Board at their May 13, 2026 Board of Directors meeting for approval.

## **13. PLANNING**

### **A. Draft 2050 Metropolitan Transportation Plan/Sustainable Communities Strategy: Summary of Public Comments**

Heather Adamson, Director of Planning gave an update to the draft 2050 MTP/SCS and summary of public comments. The 2050 MTP/SCS is 1) a long-range vision for our transportation system; 2) federal and state law requires that an MTP/SCS be prepared every four years; 3) must provide a 20+ year planning horizon period; and 4) the 2050 MTP/SCS is scheduled to be adopted in June 2026. Ms. Adamson reported that AMBAG released the Draft 2050 MTP/SCS on November 17, 2025 and comments were due on January 30, 2026. Staff held six public workshops/public hearings and received nearly 100 comments from nine individuals and/or organizations. Comments for the Draft EIR were also received and the EIR project team is preparing responses to the comments received. Next steps include 1) finalize responses to comments and prepare the Final 2050 MTP/SCS and Final EIR in March – May 2026; and 2) the AMBAG Board of Directors to consider certifying the Final EIR and adopting the Final 2050 MTP/SCS at their June 10, 2026 Board of Directors meeting. Brief discussion followed.

### **B. Regional Early Action Planning Grants (REAP) 2.0 Program Update**

Paul Hierling, Principal Planner gave a presentation on the REAP 2.0 Program. Mr. Hierling reported that the REAP 2.0 program provides funds to accelerate affordable housing production, implement 6<sup>th</sup> Cycle housing elements, and advance regional sustainable communities goals. The REAP 2.0 Program was originally funded with \$600 million from the State General Fund with the spending deadline of March 31, 2026. The REAP 2.0 goals include 1) accelerating infill development; 2) affirmatively furthering fair housing; and 3) VMT reduction. Mr. Hierling reported that as of January 2026, approximately 72% of allocated regional REAP 2.0 funding has been spend and 28% remains unspent. Unspent funds remaining after March 31, 2026 may be reallocated to other affordable housing projects that can utilize the funds immediately, otherwise remaining funding must be ceded back to the state. AMBAG will continue to encourage grant recipients to spend funding as soon as possible to meet the March 31, 2026 deadline. After the deadline, AMBAG will reallocate funds to eligible affordable housing projects and tasks which can quickly absorb funds to prevent funding from going back to the state. Brief discussion followed.

## **14. PROGRAMS**

### **A. Update on the Central California Rural Regional Energy Network**

Amaury Berteaud, Director of Sustainability Programs gave an update on the CCR REN. The CCR REN offers energy efficiency programs, primarily designed to serve hard-to-reach customers and disadvantaged and underserved communities. In 2025, the CCR REN 1) launched five of six programs by and deployed \$7.6 million of \$8.5 million budget; 2) distributed 2,000 + energy efficiency kits in the San Joaquin Valley; 3) delivered \$535,000 in incentives to 25 commercial projects across CCR REN territory; 4) created local examples of electrification at small businesses and non-profits across Central California; 5) trained and hired four commercial program staff and 36 youth energy specialist; and 6) provided seven online trainings and 2 in-person workshops on energy and building codes. Under the Public Program, 1) staff worked with 31 public agencies; 2) identified \$400,000 of potential PG&E incentives; 3) partnered with the Regional Climate Project Working Group (RCPWG) on regionally scaled funding initiatives; 3) identified 74 potential Resiliency Center sites as a key area of interest; and 5) AMBAG and RCPWG are positioning the region for external funding opportunities to fund improvements at those sites. Under the Residential Program, 1) created an online home energy assessment platform that will be launched on Earth Day 2026; and 2) prepared for deployment of energy efficiency kits containing six lightbulbs, one power strip, one shower head, and one aerator for residents to take the first step in their energy efficiency journey. Under the Commercial Energy Improvement Program, 1) staff focused on small businesses and nonprofits with no-cost water heating measures and local staff training; 2) \$146,000 in incentives were delivered across seven AMBAG region projects; 3) seven installations completed in 2025; and 4) projects were locate din Watsonville, Pajaro, Las Lomas, Salinas, and Santa Cruz. Mr. Berteaud gave an overview of the 2028-2035 CCR REN Business Plan application. The CCR REN is preparing its Business Plan application for its March 16, 2026 submission. Approval of the application authorizes implementation of proposed energy efficiency programs. Brief discussion followed.

## **15. ADJOURNMENT**

The Board of Directors meeting adjourned at 7:08 PM.

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Brian McCarthy, President

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Maura F. Twomey, Executive Director

**DRAFT AMBAG BOARD OF DIRECTORS MEETING ATTENDANCE & VOTING RECORD**  
**BOARD MEETING DATE: March 11, 2026**

| <b>Attendance (X= Present; AB= Absent)</b> |                          |                   |               |                |
|--|--------------------------|-------------------|---------------|----------------|
| <b>Voting (Y= Yes; N=No; A=Abstain)</b>    |                          |                   |               |                |
| <b>MEMBER</b>                              | <b>AMBAG REP</b>         | <b>Attendance</b> | <b>Item 5</b> | <b>Item 10</b> |
| Capitola                                   | Susan Westman            | AB                | N/A           | N/A            |
| Carmel-by-the-Sea                          | Hans Buder               | X                 | Y             | Y              |
| Del Rey Oaks                               | John Uy                  | X                 | Y             | Y              |
| Gonzales                                   | Scott Funk               | X                 | Y             | Y              |
| Greenfield                                 | Rachel Ortiz             | AB                | N/A           | N/A            |
| Hollister                                  | Rudy Picha               | X                 | Y             | Y              |
| King City                                  | Oscar Avalos             | AB                | N/A           | N/A            |
| Marina                                     | Brian McCarthy           | X                 | Y             | Y              |
| Monterey                                   | Jean Rasch               | X                 | Y             | Y              |
| Pacific Grove                              | Lori McDonnell           | X                 | Y             | Y              |
| Salinas                                    | Margaret D'Arrigo        | AB                | N/A           | N/A            |
| San Juan Bautista                          | Jose Aranda              | AB                | N/A           | N/A            |
| Sand City                                  | Mary Ann Carbone         | X                 | Y             | Y              |
| Santa Cruz                                 | Scott Newsome            | AB                | N/A           | N/A            |
| Scotts Valley                              | Derek Timm               | X                 | Y             | Y              |
| Seaside                                    | Alex Miller              | X                 | Y             | Y              |
| Soledad                                    | Fernando Ansaldo-Sanchez | AB                | N/A           | N/A            |
| Watsonville                                | Eduardo Montesino        | X                 | Y             | Y              |
| County Monterey                            | Kate Daniels             | AB                | N/A           | N/A            |
| County Monterey                            | Glenn Church             | X                 | Y             | Y              |
| County Santa Cruz                          | Manu Koenig              | X                 | Y             | Y              |
| County Santa Cruz                          | Felipe Hernandez         | X                 | Y             | Y              |
| County San Benito                          | Mindy Sotelo             | AB                | N/A           | N/A            |
| County San Benito                          | Angela Curro             | AB                | N/A           | N/A            |

(\* = Board Member(s) arrived late or left early, therefore, did not vote on the item. Please refer the minutes)



**MEMORANDUM**

**TO:** AMBAG Board of Directors

**FROM:** Maura F. Twomey, Executive Director

**RECOMMENDED BY:** Regina Valentine, Senior Planner

**SUBJECT:** AMBAG Regional Clearinghouse Monthly Newsletter

**MEETING DATE:** May 13, 2026

**RECOMMENDATION:**

It is recommended that the Board of Directors accept the March and April 2026 Clearinghouse monthly newsletters.

**BACKGROUND/DISCUSSION:**

Since March 12, 1984, under adopted State Clearinghouse Procedures, the Association of Monterey Bay Area Governments (AMBAG) was designated the regional agency responsible for clearinghouse operations in Monterey, San Benito, and Santa Cruz Counties. These procedures implement Presidential Executive Order 12372 as interpreted by the “State of California Procedures for Intergovernmental Review of Federal Financial Assistance and Direct Development Activities.” They also implement the California Environmental Quality Act of 1970 as interpreted by CEQA Guidelines.

The purpose of the Clearinghouse is to provide all interested parties within the Counties of Monterey, San Benito, and Santa Cruz notification of projects for federal financial assistance, direct federal development activities, local plans and development projects, and state plans that are proposed within the region. These areawide procedures are intended to be coordinated with procedures adopted by the State of California.

**FINANCIAL IMPACT:**

There is no direct financial impact. Staff time for monitoring clearinghouse activities is incorporated into the current AMBAG Overall Work Program and budget.

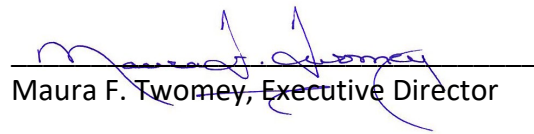
**COORDINATION:**

Notices for the Clearinghouse are sent by lead agencies to AMBAG. Interested parties are sent email notifications twice a month with the newsletters attached.

**ATTACHMENT:**

1. Monthly Newsletters - Clearinghouse items March 1 – April 30, 2026.

**APPROVED BY:**

  
Maura F. Twomey, Executive Director

**Attachment 1**

**AMBAG REGIONAL CLEARINGHOUSE**

**The AMBAG Board of Directors will review these items on 5/13/26**

Association of Monterey Bay Area Governments PO Box 2453 Seaside CA 93955 | 831.883.3750

**ENVIRONMENTAL DOCUMENTS**

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**20260304** **Watsonville Metro Project**

City of Watsonville

Justin Meek

(831) 768-3050

Finding of No Significant Impact (FON)

On or about April 13, 2026, the City of Watsonville will authorize the Housing Authority of the County of Santa Cruz to submit a request to the U.S. Department of Housing and Urban Development (HUD) for the release of 20 Project-Based Section 8 Vouchers, with an estimated value of \$8,475,840 over 20 years, as Authorized by the United States Housing Act of 1937, Section 8(c)(9), as amended, a program of the U.S. Department of Housing and Urban Development (HUD) to undertake a project known as Watsonville Metro for the purpose of providing affordable housing and a new public transit station at the existing transit station site.

The Proposed Project, Watsonville Metro, involves a partnership between Santa Cruz METRO and MidPen Housing in redeveloping the existing Watsonville Transit Center into a new, 6-story, mixed-use development with integrated transit facilities, commercial space, and housing. The project aims to transform the site into a vibrant, pedestrian-oriented and transit-connected node consistent with the objectives of the Downtown Watsonville Specific Plan (DWSP).

**Project Location:**

Santa Cruz County

Watsonville

Parcel: 01701156000

**Public hearing information:**

Any disagreement with this determination or project comment may be submitted in writing.

Public review period ends **Saturday, April 11, 2026**

California Energy Commission  
Julie Burbridge  
(916) 259-5381

Draft Environmental Impact Report\* (DEIR)

Assembly Bill 844 (Nation, Chapter 645, Statutes of 2003) requires the CEC to develop and maintain a replacement tire efficiency program under Public Resources Code (PRC) sections 25770–25773. Pursuant to PRC sections 25770–25773, CEC staff designed the Replacement Tire Efficiency Program (RTEP) to ensure that replacement tires sold in California are at least as energy-efficient, on average, as original equipment tires on new passenger vehicles and light-duty trucks.

The proposed RTEP seeks to improve the energy efficiency of replacement tires through the establishment of minimum performance standards, as well as a consumer-focused efficiency rating system, for replacement tires for passenger cars and light-duty trucks sold in California. The proposed minimum standards would apply to the sale of new replacement tires starting with those manufactured on or after January 1, 2028, with a more stringent level coming into effect three years later in January 1, 2031.

Project Location:

Statewide

Parcel: statewide

Public hearing information:

No public hearings are scheduled at this time

Public review period ends **Tuesday, June 9, 2026**

20260401

**Crestwood Heights Water Association Water System  
Consolidation Project**

City of Watsonville  
Danielle Green  
(831) 768-3104

Mitigated Negative Declaration (MND)

The proposed project involves consolidation of the Crestwood Heights Water Association water system with the City of Watsonville municipal water system. Improvements include installation of new water mains, service laterals, and meters for approximately 45 residences, system interties, and removal of existing water system infrastructure. In total, the proposed project would include 7,000 linear feet (1.33 miles) of new pipelines. The proposed project would install water mains within the right-of-way of Lakeview Road, Cambridge Drive, Kingston Drive, Oxford Drive, Strafford Drive, and Crestwood Drive. The proposed project also involves preparing a Drinking Water State Revolving Fund application to assist in funding construction of the proposed project.

Project Location:

Santa Cruz County  
Watsonville

Parcel: 05130101

Public hearing information:

City Council Chambers, 275 Main Street, Watsonville, CA 95076

6/9/2026                  6:30 PM

Public review period ends      **Thursday, May 21, 2026**

Monterey County  
Steve Mason  
(831) 759-7375

Mitigated Negative Declaration (MND)

Combined Development Permit consisting of a: 1) Administrative Permit and Design Approval to allow demolition of three (3) residential units, seven (7) guest units, a wood shop, three (3) carport structures, and construction of nineteen (19) residential units, eight (8) guest units, a 12-bed memory care facility, additions to the existing fitness center and meeting house and associated site improvements including grading in the amount of approximately 7,100 cubic yards of cut and fill; 2) Use Permit to allow development on slopes in excess of 25%; and 3) Use Permit to allow the removal of approximately 61 protected Oak trees.

Project Location:

Monterey County  
Carmel

Parcel: 169061012000

Public hearing information:

Date to be determined, Monterey County Board of Supervisors Chambers, 168 W Alisal St,  
Salinas CA

Public review period ends **Wednesday, April 1, 2026**

Monterey County  
Joseph Alameda  
(831) 783-7079

Mitigated Negative Declaration (MND)

Combined Development Permit submitted pursuant to Senate Bill 330 consisting of 1) a Vesting Tentative Map to subdivide four parcels containing 12.5 acres into 60 residential lots; 2) an Administrative Permit to allow the construction of 59 single family dwelling ranging between 2,790 and 3,930 and 15 1,026 square foot townhomes; 3) Design Approval to allow use of four conceptual single-family residential floor plans and one townhouse floor plan, offered in two architectural styles: Spanish Colonial and Renaissance, 4) Use Permit to allow development within the Carmel Valley Floodplain; and 5) Use Permit to allow development on slopes in excess of 25%.

Project Location:

Monterey County  
Carmel-by-the-Sea

Parcel: 015021015000

Public hearing information:

Date to be determined, Monterey County Board of Supervisors Chambers, 168 W. Alisal St,  
Salinas CA

Public review period ends **Wednesday, March 25, 2026**

**Notice of Availability of Air District Fee Rule Revisions  
and Public Meeting Schedule**

Monterey Bay Air Resources  
Amy Clymo  
(831) 647-9411  
Notice of Availability

MBARD is proposing revisions to three fee rules as a component of the draft Fiscal Year 2026-27 budget. The proposed revisions include a proposed fee increase of 2.2% consistent with the Consumer Price Index (CPI) for the San Francisco Area. To account for the potential fee increase and to make administrative clarifications, MBARD is proposing to revise the following rules:

Rule 300: Fees

Rule 301: Fee Schedules

Rule 310: Agricultural Diesel Engine Registration Fees

Written comments can be emailed or mailed by June 5, 2026.

Project Location:

Regionwide

Parcel: N/A

Public hearing information:

Three public workshops and public hearings to be held. More information available at <https://www.mbard.org/3-16-2026-proposed-revisions-to-fee-rules-for-fiscal-year-2026-2027>

Public review period ends **Friday, June 5, 2026**

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*More detailed information on these projects is available by calling the contact person for each project or through AMBAG at (831) 883-3750. Comments will be considered by the AMBAG Board of Directors in its review. All comments will be forwarded to the applicants for response and inclusion in the project application. If substantial coordination or conflict issues arise, the Clearinghouse can arrange meetings between concerned agencies and applicants.*



**MEMORANDUM**

**TO:** AMBAG Board of Directors

**FROM:** Maura F. Twomey, Executive Director

**RECOMMENDED BY:** Amaury Berteaud, Director of Sustainability Programs

**SUBJECT:** AMBAG Sustainability Programs Update

**MEETING DATE:** May 13, 2026

**RECOMMENDATION:**

It is recommended the Board of Directors accept this report.

**BACKGROUND/ DISCUSSION:**

**AMBAG Sustainability Program Elements**

**Energy Efficiency Program Development**

On September 26, 2024, the California Public Utilities Commission (CPUC) issued decision D.24.09.31, approving the creation of the Central California Rural Regional Energy Network (CCR REN) with a \$36 million budget. The CCR REN is a partnership between AMBAG, the County of San Luis Obispo, County of Ventura, High Sierra Energy Foundation, and San Joaquin Valley Clean Energy Organization, with a vision to support an equitable and affordable clean energy transition for underserved communities. By leveraging regional collaboration, trusted local relationships, and promoting pragmatic responses to community needs, the CCR REN is working to support communities that have historically not participated in energy efficiency programs in 14 central California counties.

In the past months AMBAG staff have continued to implement the CCR REN programs. Key activities included final design and piloting of the Monterey Bay Residential Energy Modeling tool, launch of the CCR REN energy efficiency kit, outreach to jurisdictions and special districts to discuss CCR REN program offerings, and outreach for small business participating in the CCR REN commercial program. AMBAG staff promoted the CCR REN residential offerings at three earth day events in April, at the cities of Salinas, Marina, and San Juan Bautista. AMBAG staff also continued coordinating with CCR REN partners, community stakeholders, and jurisdictional staff to obtain CPUC approval for

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the next energy efficiency portfolio cycle, from 2028 to 2031. The CCR REN Business Plan application was filed on March 16, 2026 and partners are now engaging in the regulatory process.

### **Monterey Bay Electric Vehicle Climate Adaptation and Resiliency Framework (Monterey Bay EV CAR Framework)**

On August 31, 2023, the California Department of Transportation awarded AMBAG and Ecology Action a \$750,000 Sustainable Transportation Planning Grant (STPG) climate adaptation planning grant to fund the creation of a Monterey Bay Electric Vehicle Climate Adaptation and Resiliency Framework.

The Monterey Bay EV CAR Framework will create a roadmap in the Monterey Bay area for assessing current charging infrastructure vulnerability to climate change and create strategies that ensure the build-out of EV charging infrastructure increases equity and resiliency in the face of climate change. The framework will include implementation plans for prioritized strategies, in order to provide communities with tangible pathways to implementation. This project will empower the Monterey Bay region to integrate climate and equity considerations as part of long-range EV infrastructure planning, and lead to the implementation of EV infrastructure resiliency strategies throughout Monterey Bay.

In the past months AMBAG staff worked with the technical consultant for this project to finalize the technical analysis of forecasted EV charging infrastructure associated projections of energy needs, and vulnerabilities from climate change induced extreme weather events. AMBAG staff also worked with the technical consultant to finish the analysis of the results of the online mapping engagement tool. In April, AMBAG worked with the technical consultant to create initial adaptation concepts and hosted a public workshop to gather feedback on the proposed approach. Based on this feedback the technical consultant began to draft adaptation measures.

#### **ALTERNATIVES:**

There are no alternatives to discuss as this is an informational report.

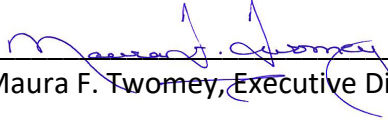
#### **FINANCIAL IMPACT:**

The budget is fully funded under a Caltrans Climate Adaptation Planning Grant, and the CCR REN funding agreement with the County of San Luis Obispo. All funding is programmed in the FY 2025-26 Overall Work Program and Budget.

**COORDINATION:**

AMBAG staff is coordinating with the Central California Rural REN partners, local jurisdictions, and local community stakeholders.

**APPROVED BY:**

  
\_\_\_\_\_  
Maura F. Twomey, Executive Director

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**MEMORANDUM**

**TO:** AMBAG Board of Directors

**FROM:** Maura F. Twomey, Executive Director

**RECOMMENDED BY:** Bhupendra Patel, Ph.D., Director of Modeling

**SUBJECT:** Draft Amendment No. 2 to the FY 2025-26 Monterey Bay Region Overall Work Program (OWP) and Budget

**MEETING DATE:** May 13, 2026

**RECOMMENDATION:**

Approve Draft Amendment No. 2 to the FY 2025-26 Monterey Bay Region Overall Work Program (OWP) and Budget.

**BACKGROUND/ DISCUSSION:**

The Infrastructure Investment and Jobs Act (IIJA) call for the development of the Overall Work Program (OWP) by the federally designated Metropolitan Planning Organization (MPO). The Association of Monterey Bay Area Governments (AMBAG), as the federally designated MPO for the tri-county (Monterey, San Benito, and Santa Cruz Counties) Monterey Bay region, annually develops and maintains the OWP and Budget. Generally, the Overall Work Program (OWP) and the AMBAG Budget are linked documents.

The FY 2025-26 OWP is developed in consultation and coordination with the region’s Regional Transportation Planning Agencies (RTPA), transit operators, Caltrans, Federal Highway Administration (FHWA), and Federal Transit Administration (FTA). The FY 2025-26 OWP includes transportation and air quality related planning activities proposed for the Monterey Bay Region for the state fiscal year July 1, 2025, to June 30, 2026.

The FY 2025-26 OWP and Budget was approved by the AMBAG Board of Directors at the May 14, 2025 meeting and the FY 2025-26 OWP was jointly approved by FHWA and FTA on June 3, 2025. Subsequently, Amendment 1 to the FY 2025-26 OWP was approved by the AMBAG Board of Directors at the November 12, 2025 meeting.

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The AMBAG OWP and Budget is subject to periodic adjustments resulting from changes in activities as well as revisions in revenues and expenditures during the fiscal year. The proposed Draft Amendment 2 to the FY 2025-26 OWP and Budget accounts for the following changes:

- Programming additional funds for WE 334 for staff time and travel.
- Line-item adjustments to expenditures for WE 622.

For your reference, Draft Amendment 2 to the FY 2025-26 OWP and Budget is separately enclosed with the agenda (Attachment 1).

**ALTERNATIVES:**

None.

**FINANCIAL IMPACT:**

Staff time to carry out OWP and Budget activities is funded through FHWA PL, FTA 5303, and other State and local funds as programmed in the approved FY 2025-26 OWP.

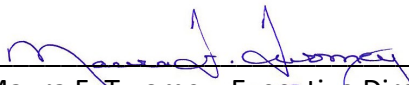
**COORDINATION:**

Preparation of the Draft Amendment 2 to the FY 2025-26 OWP has been coordinated with Council of San Benito County Governments (SBtCOG), Monterey-Salinas Transit (MST), Santa Cruz County Regional Transportation Commission (SCRTC), Santa Cruz Metropolitan Transit District (SCMTD), Transportation Agency for Monterey County (TAMC), California Department of Transportation (Caltrans), Federal Highway Administration (FHWA), and Federal Transit Administration (FTA).

**ATTACHMENTS:**

1. Draft Amendment 2- FY 2025-26 OWP and Budget (separately enclosed)

**APPROVED BY:**

  
\_\_\_\_\_  
Maura F. Twomey, Executive Director



**MEMORANDUM**

**TO:** AMBAG Board of Directors

**FROM:** Maura F. Twomey, Executive Director

**RECOMMENDED BY:** Jessica Agee, Director of Finance and Administration

**SUBJECT:** Annual Comprehensive Financial Report (ACFR) for Fiscal Year 2024-2025

**MEETING DATE:** May 13, 2026

**RECOMMENDATION:**

Accept the Annual Comprehensive Financial Report (ACFR) for Fiscal Year 2024-2025.

**BACKGROUND/ DISCUSSION:**

Pursuant to AMBAG's by-laws, an independent audit firm performs an annual financial audit and an opinion is issued on AMBAG's financial position as of June 30 of each year. The Annual Comprehensive Financial Report (ACFR) for the period ended June 30, 2025 is separately enclosed. AMBAG received an unmodified (clean) opinion.

The AMBAG ACFR is comprised of several sections:

- **Introductory** – this section includes a letter of transmittal, which is and executive summary introducing the financial statements along with other required information.
- **Financial Section and Basic Financial Statements** – these sections have the independent auditors' report from Clifton Larson Allen, LLP; Management's Discussion and Analysis (MD&A), which is management's overview of AMBAG's financial position; identification of any major issues and projections for the future; the actual financial statements and note disclosures.
- **Required Supplementary Information** – this section includes budgetary comparison schedules for the general fund and for each major fund that has a legally adopted annual budget. This section also includes additional schedules

and reporting requirements for Governmental Accounting Standards Board (GASB) Statements No. 75, 68, and 82.

- **Supplementary Information** – this section contains Consolidated Planning Grant (CPG) financial information and schedules regarding direct, indirect, and unallowable costs under AMBAG’s grant awards.
- **Statistical Section** – this section contains various unaudited demographic and financial information for the AMBAG region.
- **Single Audit Section** – this section is a requirement for agencies that have federal grants exceeding \$750,000 and includes the auditor’s report on their findings and any questioned costs, as it relates to federal grant programs. There were no single audit findings.

**FINANCIAL IMPACT:**

Management’s Discussion and Analysis section of the ACFR discloses management’s perspective on the financial position of AMBAG and its nonprofit arm, Regional Analysis and Planning Services, Inc. (RAPS) for FY 2024-2025. AMBAG had a negative change in financial position of \$400,422. For the FY 2024-2025, AMBAG had a negative change in fund balance of \$66,834 and RAPS had a positive change in fund balance of \$7,558.

Preparation of the ACFR was funded in part through grants from the Federal Highway Administration and Federal Transit Administration.

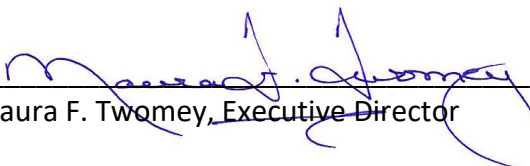
**COORDINATION:**

Clifton Larson Allen, LLP, the independent audit firm that conducted the annual financial audit and issued the opinion on AMBAG’s financial position.

**ATTACHMENTS:**

1. Clifton Larson Allen, LLP Letter to the AMBAG Board of Directors
2. Annual Comprehensive Financial Report (ACFR) for FY 2024 -2025 (separately enclosed)

**APPROVED BY:**

  
Maura F. Twomey, Executive Director



Board of Directors  
Association of Monterey Bay Area Governments  
Monterey, California

We have audited the financial statements of the governmental activities and each major fund of the Association of Monterey Bay Area Governments as of and for the year ended June 30, 2025, and have issued our report thereon dated . We have previously communicated to you information about our responsibilities under auditing standards generally accepted in the United States of America, *Government Auditing Standards*, as well as certain information related to the planned scope and timing of our audit in our statement of work dated April 16, 2025. Professional standards also require that we communicate to you the following information related to our audit.

### **Significant audit findings or issues**

#### ***Qualitative aspects of accounting practices***

##### *Accounting policies*

Management is responsible for the selection and use of appropriate accounting policies. The significant accounting policies used by Association of Monterey Bay Area Governments are described in Note 1 to the financial statements.

As described in Note 15, the entity changed accounting policies related to compensated absences by adopting Statement of Governmental Accounting Standards Board (GASB Statement) No. 101, *Compensated Absences*, in current fiscal year. Accordingly, the cumulative effect of the accounting change as of the beginning of the year is reported in the Statement of Activities.

We noted no transactions entered into by the Association during the year for which there is a lack of authoritative guidance or consensus. All significant transactions have been recognized in the financial statements in the proper period.

##### *Accounting estimates*

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. The most sensitive estimates affecting the financial statements were:

- The actuarially required contribution, pension expense, net pension liability, and corresponding deferred outflows of resources and deferred inflows of resources for the Association's public defined benefit plan with CalPERS are based on an actuarial valuation provided by CalPERS and prepared by an outside consultant.
- The actuarially determined contribution, OPEB expense, net OPEB liability, and corresponding deferred outflows of resources and deferred inflows of resources for the Association's OPEB plan are based on certain actuarial assumptions and methods prepared by an outside consultant.

We evaluated the key factors and assumptions used to develop these estimates in determining that they were reasonable in relation to the financial statements taken as a whole

**Financial statement disclosures**

Certain financial statement disclosures are particularly sensitive because of their significance to financial statement users. There were no particularly sensitive financial statement disclosures.

The financial statement disclosures are neutral, consistent, and clear.

***Significant unusual transactions***

We identified no significant unusual transactions.

***Difficulties encountered in performing the audit***

We encountered no significant difficulties in dealing with management in performing and completing our audit.

***Uncorrected misstatements***

Professional standards require us to accumulate all misstatements identified during the audit, other than those that are clearly trivial, and communicate them to the appropriate level of management. Management did not identify, and we did not notify them of any uncorrected financial statement misstatements.

***Corrected misstatements***

Management did not identify, and we did not notify them of any financial statement misstatements detected as a result of audit procedures.

***Disagreements with management***

For purposes of this communication, a disagreement with management is a disagreement on a financial accounting, reporting, or auditing matter, whether or not resolved to our satisfaction, that could be significant to the financial statements or the auditors' report. No such disagreements arose during our audit.

***Circumstances that affect the form and content of the auditors' report***

As previously communicated to you, the report was modified to describe Association of Monterey Bay Area Governments' implementation of GASB Statement No. 101, *Compensated Absences*.

***Management representations***

We have requested certain representations from management that are included in the management representation letter dated .

***Management consultations with other independent accountants***

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the entity's financial statements or a determination of the type of auditors' opinion that may be expressed on those statements, our professional standards require the consulting

accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

***Significant issues discussed with management prior to engagement***

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to engagement as the entity's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our engagement.

**Required supplementary information**

With respect to the required supplementary information (RSI) accompanying the financial statements, we made certain inquiries of management about the methods of preparing the RSI, including whether the RSI has been measured and presented in accordance with prescribed guidelines, whether the methods of measurement and preparation have been changed from the prior period and the reasons for any such changes, and whether there were any significant assumptions or interpretations underlying the measurement or presentation of the RSI. We compared the RSI for consistency with management's responses to the foregoing inquiries, the basic financial statements, and other knowledge obtained during the audit of the basic financial statements. Because these limited procedures do not provide sufficient evidence, we did not express an opinion or provide any assurance on the RSI.

**Supplementary information in relation to the financial statements as a whole**

With respect to the schedule of direct, indirect and unallowable expenditures for the general fund and the schedule of expenditures for the consolidated planning grant (collectively, the supplementary information) accompanying the financial statements, on which we were engaged to report in relation to the financial statements as a whole, we made certain inquiries of management and evaluated the form, content, and methods of preparing the information to determine that the information complies with accounting principles generally accepted in the United States of America, the method of preparing it has not changed from the prior period or the reasons for such changes, and the information is appropriate and complete in relation to our audit of the financial statements. We compared and reconciled the supplementary information to the underlying accounting records used to prepare the financial statements or to the financial statements themselves. We have issued our report thereon dated .

**Other information included in annual reports**

Other information (financial or nonfinancial information other than the financial statements and our auditors' report thereon) is being included in your annual report and is comprised of the introductory and statistical sections. Our responsibility for other information included in your annual report does not extend beyond the financial information identified in our opinion on the financial statements. We have no responsibility for determining whether such other information is properly stated and do not have an obligation to perform any procedures to corroborate other information contained in your annual report. We are required by professional standards to read the other information included in your annual report and consider whether a material inconsistency exists between the other information and the financial statements because the credibility of the financial statements and our auditors' report thereon may be undermined by material inconsistencies between the audited financial statements and other information. If, based on the work performed, we conclude that an uncorrected material misstatement of the other information exists, we are required to describe it in our report. Our auditors' report on the financial statements includes a separate section, "Other Information," which states we do not express an opinion

or any form of assurance on the other information included in the annual report. We did not identify any material inconsistencies between the other information and the audited financial statements.

This communication is intended solely for the information and use of the board of directors and management of Association of Monterey Bay Area Governments and is not intended to be, and should not be, used by anyone other than these specified parties.

*CliftonLarsonAllen LLP*

**CliftonLarsonAllen LLP**

Irvine, California  
November 13, 2025



**MEMORANDUM**

**TO:** AMBAG Board of Directors

**FROM:** Maura F. Twomey, Executive Director

**RECOMMENDED BY:** Jessica Agee, Director of Finance and Administration

**SUBJECT:** Financial Update Report

**MEETING DATE:** May 13, 2026

**RECOMMENDATION:**

Staff recommends that the Board of Directors accept the Financial Update Report.

**BACKGROUND/ DISCUSSION:**

The enclosed financial reports are for the 2025-2026 Fiscal Year (FY) and are presented as a consent item. The attached reports contain the cumulative effect of operations through February 28, 2026, as well as a budget-to-actual comparison. Amounts in the Financial Update Report are unaudited.

**FINANCIAL IMPACT:**

The Balance Sheet for February 28, 2026, reflects a cash balance of \$ 4,091,928.66. The accounts receivable balance is \$827,279.08, while the current liabilities balance is \$1,653,739.06. AMBAG has sufficient current assets on hand to pay all known current obligations.

AMBAG's Balance Sheet as of February 28, 2026, reflects a positive Net Position in the amount of \$364,955.77. This is due in part to the Profit and Loss Statement reflecting an excess of revenue over expense of \$165,947.21. Changes in Net Position are to be expected throughout the fiscal year (FY), particularly at the beginning due to the collection of member dues which are received in July and the timing of various year-end adjustments required after our financial audit.

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The following table highlights key Budget to Actual financial data:

**Budget to Actual Financial Highlights**  
**For Period July 1, 2025 through February 28, 2026**

| <b>Expenditures</b>   | <b>Budget Through February 2026</b> | <b>Actual Through February 2026</b> | <b>Difference</b>      |
|---|-------------------------------------|-------------------------------------|------------------------|
| Salaries & Fringe Benefits  | \$ 2,424,277.00                     | \$ 2,019,362.89                     | \$ 404,914.11          |
| Professional Services   | \$ 5,797,928.00                     | \$ 3,903,458.54                     | \$ 1,894,469.46        |
| Lease/Rentals   | \$ 53,000.00                        | \$ 52,294.27                        | \$ 705.73              |
| Communications  | \$ 16,533.00                        | \$ 16,386.73                        | \$ 146.27              |
| Supplies  | \$ 86,667.00                        | \$ 39,790.84                        | \$ 46,876.16           |
| Printing  | \$ 8,505.00                         | \$ 70.74                            | \$ 8,434.26            |
| Travel  | \$ 60,469.00                        | \$ 29,071.27                        | \$ 31,397.73           |
| Other Charges   | \$ 102,733.00                       | \$ 100,806.44                       | \$ 1,926.56            |
| Non-Federal Local Match   | \$ 252,128.59                       | \$ 252,128.59                       | \$ -                   |
| <b>Total</b>  | <b>\$ 8,550,113.00</b>              | <b>\$ 6,413,370.31</b>              | <b>\$ 2,388,870.28</b> |
| <b>Revenue</b>  |                                     |                                     |                        |
| Federal/State/Local Revenue   | \$ 8,796,784.00                     | \$ 6,579,317.52                     | \$ 2,217,466.48        |
| Note: AMBAG is projecting a surplus, therefore budgeted revenues do not equal expenses. |                                     |                                     |                        |

**Revenues/Expenses (Budget to Actual Comparison):**

The budget reflects a linear programming of funds while actual work is contingent on various factors. Therefore, during the fiscal year there will be fluctuations from budget-to-actual.

Professional Services are under budget primarily due to the timing of work on projects performed by contractors. Projects early in their implementation are Pajaro Bridge Infrastructure Resilient Design Study (Pajaro BIRDS) and Central Coast Rural Regional Energy Network (Rural REN). This work is not performed in a linear fashion while the budget reflects linear programming. In addition, the Regional Early Action Planning Housing Program 2.0 (REAP) provides \$9,537,639.42 in funding of which a large portion will pass through to partner agencies.

Since AMBAG funding is primarily on a reimbursement basis, any deviation in expenditure also results in a corresponding deviation in revenue. Budget-to-actual revenue and expenditures are monitored regularly to analyze fiscal operations and propose amendments to the budget if needed.

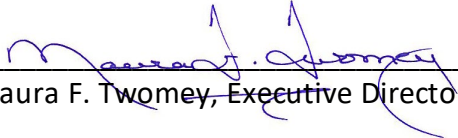
**COORDINATION:**

N/A

**ATTACHMENTS:**

1. Balance Sheet as of February 28, 2026
2. Profit and Loss: July 1, 2025 – February 28, 2026
3. Cash Activity for March 2026

**APPROVED BY:**



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Maura F. Twomey, Executive Director



**Profit & Loss - Attachment 2**

February 2026

|  | Feb-26    | Feb-26              |
|--|-----------|---------------------|
| <b>Income</b>                                      |           |                     |
| AMBAG Revenue                                      |           | 198,586.30          |
| Cash Contributions                                 |           | 83,909.25           |
| Grant Revenue                                      |           | 6,044,693.38        |
| Non-Federal Local Match                            |           | 252,128.59          |
| <b>Total Income</b>                                |           | <b>6,579,317.52</b> |
| <b>Expense</b>                                     |           |                     |
| Salaries   |           | 1,238,162.51        |
| Fringe Benefits                                    |           | 781,200.38          |
| Professional Services                              |           | 3,903,458.54        |
| Lease/Rentals                                      |           | 52,294.27           |
| Communications                                     |           | 16,386.73           |
| Supplies   |           | 39,790.84           |
| Printing   |           | 70.74               |
| Travel   |           | 29,071.27           |
| Other Charges:                                     |           |                     |
| BOD Allowances                                     | 3,100.00  |                     |
| BOD Refreshments/Travel/Nameplates/Dinner/Other    | 3,055.47  |                     |
| Workshops/Training                                 | 4,063.30  |                     |
| CCR REN Travel/Classes/Events/Recruitment/Other    | 1,020.00  |                     |
| SB1/MTIP/MTP/SCS/OWP/Public Participation Expenses | 9,884.85  |                     |
| Dues & Subscriptions                               | 20,515.96 |                     |
| Depreciation Expense                               | 32,392.80 |                     |
| Insurance  | 26,774.06 |                     |
| Interest/Fees/Tax Expense                          | 0.00      |                     |
| <b>Total Other Charges</b>                         |           | <b>100,806.44</b>   |
| Non-Federal Local Match                            |           | 252,128.59          |
| <b>Total Expense</b>                               |           | <b>6,413,370.31</b> |
| <b>Net Income/(Loss)</b>                           |           | <b>165,947.21</b>   |

**AMBAG**  
**Cash Activity - Attachment 3**  
**For March 2026**

Unaudited

| Monthly Cash Activity          | July-25      | August-25    | September-25 | October-25   | November-25  | December-25  | January-26   | February-26  | March-26     | April-26     | May-26       | June-26      | TOTAL        |
|--------------------------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
| <b>1. CASH ON HAND</b>         |              |              |              |              |              |              |              |              |              |              |              |              |              |
| [Beginning of month]           | 4,212,310.51 | 3,798,610.52 | 2,971,516.09 | 2,933,212.41 | 2,878,828.47 | 5,363,754.84 | 5,224,446.91 | 5,026,543.52 | 4,091,928.66 | 2,968,575.51 | 2,968,575.51 | 2,968,575.51 |              |
| <b>2. CASH RECEIPTS</b>        |              |              |              |              |              |              |              |              |              |              |              |              |              |
| (a) AMBAG Revenue              | 107,036.69   | 64,088.60    | 3,616.46     | 43,522.07    | 5,101.71     | 102,922.92   | 7,600.31     | 2,755.11     | 12,382.05    | 0.00         | 0.00         | 0.00         | 349,025.92   |
| (b) Grant Revenue              | 326,349.90   | 369,164.01   | 395,152.62   | 425,701.87   | 329,725.50   | 498,029.56   | 510,279.78   | 253,173.24   | 533,714.49   | 0.00         | 0.00         | 0.00         | 3,641,290.97 |
| (c) REAP Advance Payment       | 0.00         | 0.00         | 0.00         | 0.00         | 2,575,133.53 | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 2,575,133.53 |
| (d) Borrowing                  | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         |
| <b>3. TOTAL CASH RECEIPTS</b>  | 433,386.59   | 433,252.61   | 398,769.08   | 469,223.94   | 2,909,960.74 | 600,952.48   | 517,880.09   | 255,928.35   | 546,096.54   | 0.00         | 0.00         | 0.00         | 6,565,450.42 |
| <b>4. TOTAL CASH AVAILABLE</b> | 4,645,697.10 | 4,231,863.13 | 3,370,285.17 | 3,402,436.35 | 5,788,789.21 | 5,964,707.32 | 5,742,327.00 | 5,282,471.87 | 4,638,025.20 | 2,968,575.51 | 2,968,575.51 | 2,968,575.51 |              |
| <b>5. CASH PAID OUT</b>        |              |              |              |              |              |              |              |              |              |              |              |              |              |
| (a) Payroll & Related          | 309,774.68   | 241,785.75   | 242,393.93   | 247,674.65   | 247,891.62   | 260,697.48   | 291,031.89   | 254,178.32   | 255,332.85   | 0.00         | 0.00         | 0.00         | 2,350,761.17 |
| (b) Professional Services      | 493,711.25   | 1,004,753.86 | 178,608.31   | 248,982.07   | 143,368.84   | 457,054.42   | 408,974.36   | 917,995.78   | 1,394,222.63 | 0.00         | 0.00         | 0.00         | 5,247,671.52 |
| (c) Capital Outlay             | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         |
| (d) Lease/Rentals              | 12,382.77    | 0.00         | 6,320.95     | 5,968.00     | 5,968.00     | 6,320.95     | 6,426.36     | 6,515.58     | 7,051.56     | 0.00         | 0.00         | 0.00         | 56,954.17    |
| (e) Communications             | 2,905.18     | 1,671.39     | 2,792.55     | 2,155.63     | 3,021.03     | 2,635.70     | 1,485.12     | 2,003.86     | 1,433.10     | 0.00         | 0.00         | 0.00         | 20,103.56    |
| (f) Supplies                   | 3,793.08     | 3,887.51     | 2,923.28     | 8,575.42     | 2,179.37     | 2,699.62     | 2,433.79     | 4,785.65     | 2,110.31     | 0.00         | 0.00         | 0.00         | 33,388.03    |
| (g) Printing                   | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 70.74        | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 70.74        |
| (h) Travel                     | 7,479.54     | 2,363.53     | 379.84       | 8,541.61     | 3,592.67     | 1,133.94     | 1,318.33     | 2,944.22     | 5,892.63     | 0.00         | 0.00         | 0.00         | 33,646.31    |
| (i) Other Charges              | 17,040.08    | 5,885.00     | 3,653.90     | 1,710.50     | 19,012.84    | 9,647.56     | 4,113.63     | 2,119.80     | 3,406.61     | 0.00         | 0.00         | 0.00         | 66,589.92    |
| (j) Loan Repayment             | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         | 0.00         |
| <b>6. TOTAL CASH PAID OUT</b>  | 847,086.58   | 1,260,347.04 | 437,072.76   | 523,607.88   | 425,034.37   | 740,260.41   | 715,783.48   | 1,190,543.21 | 1,669,449.69 | 0.00         | 0.00         | 0.00         | 7,809,185.42 |
| <b>7. CASH POSITION</b>        | 3,798,610.52 | 2,971,516.09 | 2,933,212.41 | 2,878,828.47 | 5,363,754.84 | 5,224,446.91 | 5,026,543.52 | 4,091,928.66 | 2,968,575.51 | 2,968,575.51 | 2,968,575.51 | 2,968,575.51 |              |



**MEMORANDUM**

**TO:** AMBAG Board of Directors

**FROM:** Maura F. Twomey, Executive Director

**RECOMMENDED BY:** Jessica Agee, Director of Finance and Administration

**SUBJECT:** AB 2561: Report on Job Vacancies and Recruitment and Retention Efforts

**MEETING DATE:** May 13, 2026

**RECOMMENDATION:**

Staff recommends that the Board of Directors receive a presentation on the status of vacancies and recruitment and retention and hold a public hearing to receive input on information presented.

**BACKGROUND/ DISCUSSION:**

On September 22, 2024, Governor Newsom signed AB 2561 into law to amend the Meyers-Milias-Brown Act and create a new obligation for public agencies to publicly address the status of their vacancies. As a basis for the new requirements, the California Legislature determined that vacancies are widespread and can be a significant problem in the public sector. The bill was enacted into law and is codified in Government Code section 3502.3.

This report discusses AMBAG's legal obligations under the new law, which took effect January 1, 2025. Among other requirements, the bill mandates that public agencies present the status of vacancies and recruitment and retention efforts during a public hearing before the agency's governing body at least once per fiscal year.

In compliance with the new legal obligations, public agencies are required to do the following:

1. **Public Hearing:** At least once each fiscal year, at a public hearing before the agency's governing board, the agency shall present information regarding the

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status of vacancies and recruitment and retention efforts (Gov. Code§ 3502.3(a)(1)) and identify any necessary changes to policies, procedures, and recruitment activities that may lead to obstacles in the hiring process (Gov. Code§ 3502.3(a)(3)).

The following requirements do not apply to AMBAG as there are no bargaining units:

2. Employee Organization Participation: Allow the recognized employee organization for each bargaining unit to make presentations during the public hearing concerning vacancies and recruitment and retention efforts. (Gov. Code§ 3502.3(b)).
3. Additional Reporting for High Vacancy Rates: If vacancies within a single bargaining unit meet or exceed 20% of authorized full-time positions in that bargaining unit, upon request of the recognized employee organization for that bargaining unit, the agency must provide additional information during the public hearing, including the following: (1) the total number of vacancies; (2) the number of applicants; (3) the average time to fill positions; and (4) opportunities to improve compensation and working conditions for employees in the bargaining unit. (Gov. Code§ 3502.3(c)).

#### **ANALYSIS:**

Beginning with this report, AMBAG will schedule and hold a hearing prior to budget adoption each year to comply with AB 2561.

The data that AMBAG will report on to meet the compliance requirements of AB 2561 each year will be based on the previous calendar year, with a snapshot of the activity on December 31 of each year. The data that AB 2561 requires to be reported is on recruitment, vacancy, and retention efforts or activities. All AMBAG positions are unrepresented; therefore, AMBAG will not need to meet the additional communication or data collection required for organizations with recognized bargaining units.

The data for calendar year 2025 activity as of December 31, 2025, is:

#### Organizational Efforts

- Budgeted Positions – 16
- Hires – 2
- Open Positions – 1
- Retention – 94%
- Time to Hire – 5 Days
- Vacancy Rate – 6%

AMBAG faces two potential challenges related to recruitment and retention: it competes with nearby employers, especially private-sector organizations that offer much higher pay, and it operates in a region with a significantly higher cost of living than much of California and the rest of the country, making it harder to attract candidates.

AMBAG has several opportunities to address these recruitment challenges. Flexible work arrangements are increasingly preferred by experienced professionals, and AMBAG has strengthened its competitiveness by adopting a hybrid work environment. The organization will continue to monitor market compensation to ensure alignment with prevailing rates. AMBAG also acknowledges that enhanced compensation or benefits may improve recruitment outcomes; however, such measures increase overhead costs and reduce resources available for operations. AMBAG will continue refining how best to balance competitive compensation with prudent fiscal management to attract qualified staff while sustaining essential operations.

**FINANCIAL IMPACT:**

There is no financial impact resulting from the proposed action.

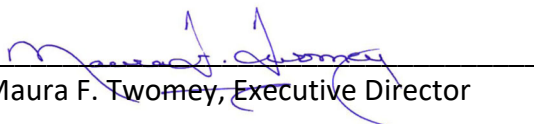
**COORDINATION:**

N/A

**ATTACHMENTS:**

None.

**APPROVED BY:**

  
Maura F. Twomey, Executive Director

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**MEMORANDUM**

**TO:** AMBAG Board of Directors

**FROM:** Maura F. Twomey, Executive Director

**RECOMMENDED BY:** Bhupendra Patel, Ph.D., Director of Modeling

**SUBJECT:** Draft FY 2026-27 Monterey Bay Region Overall Work Program (OWP) and Budget

**MEETING DATE:** May 13, 2026

**RECOMMENDATION:**

- 1) Approve the Draft FY 2026-27 Monterey Bay Region OWP and Budget by adopting resolution 2026-4 (Attachment 1) and authorize staff to submit the Draft FY 2026-27 OWP to Caltrans and federal agencies for their approval;
- 2) Certify AMBAG's adherence to the metropolitan transportation planning process within the region and authorize Executive Director to sign the certifications and forms (Attachments 2-5); and;
- 3) Authorize AMBAG staff to execute OWP Agreements to release funds for metropolitan transportation planning use.

**BACKGROUND/ DISCUSSION:**

The Infrastructure Investment and Jobs Act (IIJA) calls for the development of the Overall Work Program (OWP) by the federally designated Metropolitan Planning Organization (MPO). The Association of Monterey Bay Area Governments (AMBAG), as the federally designated MPO for the tri-county (Monterey, San Benito, and Santa Cruz Counties) Monterey Bay region, annually develops and maintains the OWP and Budget. Generally, the Overall Work Program (OWP) and the AMBAG Budget are linked documents.

The Draft FY 2026-27 OWP is developed in consultation and coordination with the region's Regional Transportation Planning Agencies (RTPA), transit operators, Caltrans, Federal Highway Administration (FHWA) and Federal Transit Administration (FTA). The Draft FY 2026-27 OWP

includes transportation and air quality related planning activities proposed for the Monterey Bay Region for the state fiscal year July 1, 2026, to June 30, 2027.

At the March 11, 2026, meeting, staff presented the Draft FY 2026-27 OWP and Budget for AMBAG Board review and comments. Following the meeting, staff provided the same draft document to Caltrans, FHWA, and FTA for their review and comments. AMBAG staff incorporated the comments received from the state and federal agencies in this final Draft FY 2026-27 OWP, as appropriate. The Draft FY 2026-27 OWP and Budget document is separately enclosed with the agenda packet and available to view or download from the AMBAG website at <https://ambag.org>.

Upon Board approval, the FY 2026-27 OWP will be submitted to Caltrans, FHWA, and FTA for their final approval on or before June 30, 2026.

### **Draft FY 2026-27 AMBAG Budget**

Generally, the OWP and the AMBAG Budget are linked documents. The AMBAG Draft FY 2026-27 Budget mirrors the activities and funding programmed in the Draft FY 2026-27 OWP. Staff provided a detailed presentation on the Draft FY 2026-27 AMBAG Budget at the March 11, 2026, Board of Directors meeting. The FY 2026-27 AMBAG Draft Budget is balanced as required by the AMBAG bylaws.

### **ALTERNATIVES:**

None.

### **FINANCIAL IMPACT:**

The staff time to carry out draft OWP and Budget activities is funded through FHWA PL, FTA 5303, and other State and local funds as programmed in the approved FY 2025-26 OWP.

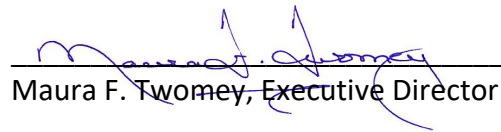
### **COORDINATION:**

Preparation of the Draft FY 2026-27 OWP has been coordinated with Council of San Benito County Governments (SBtCOG), Monterey-Salinas Transit (MST), Santa Cruz County Regional Transportation Commission (SCCRTC), Santa Cruz Metropolitan Transit District (SCMTD), Transportation Agency for Monterey County (TAMC), California Department of Transportation (Caltrans), Federal Highway Administration (FHWA), and Federal Transit Administration (FTA).

**ATTACHMENTS:**

1. Resolution 2026-4 approving the Draft FY 2026-27 OWP and Budget
2. Certificate of AMBAG's adherence to the metropolitan transportation planning process within the region
3. FTA Certification for 2026
4. Fiscal Year 2026/2027 California Department of Transportation Debarment and Suspension Certification
5. Disclosure of Lobbying Activities form
6. Draft FY 2026-27 OWP and Budget (separately enclosed)

**APPROVED BY:**

  
Maura F. Twomey, Executive Director

**A RESOLUTION OF THE BOARD OF DIRECTORS OF THE  
ASSOCIATION OF MONTEREY BAY AREA GOVERNMENTS TO ADOPT  
THE AMBAG FY 2026-2027 OVERALL WORK PROGRAM AND BUDGET**

**WHEREAS**, the Association of Monterey Bay Area Governments (AMBAG) has been designated by the Governor of the State of California as the Metropolitan Planning Organization (MPO) for the Monterey Bay area; and

**WHEREAS**, the Infrastructure Investment and Jobs Act (IIJA) calls for the development of a Unified Planning Work Program (UPWP), or Overall, Work Program (OWP) and Budget under direction of the MPO in cooperation with the State and operators of publicly-owned transit; and

**WHEREAS**, in the Monterey Bay region, the Comprehensive, Cooperative and Continuous transportation planning process also includes the regional transportation planning agencies, transit operators, Caltrans, the Federal Highway and Federal Transit Administrations; and

**WHEREAS**, the metropolitan planning regulations under the Infrastructure Investment and Jobs Act (IIJA) require the MPO and Caltrans to annually certify that the metropolitan transportation planning process is being carried out in conformance with the following applicable laws and regulations:

- 1) 23 U.S.C. 134, 49 U.S.C. 5303, and subpart C of 23 CFR part 450;
- 2) In nonattainment and maintenance areas, sections 174 and 176(c) and (d) of the Clean Air Act, as amended (42 U.S.C. 7504, 7506(c) and (d)) and 40 CFR part 93;
- 3) Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d-1) and 49 CFR part 21;
- 4) 49 U.S.C. 5332, prohibiting discrimination on the basis of race, color, creed, national origin, sex, or age in employment or business opportunity;
- 5) Section 1101(b) of the FAST Act (Pub. L. 114-94) and 49 CFR part 26 regarding the involvement of disadvantaged business enterprises in USDOT funded projects;
- 6) 23 CFR part 230, regarding the implementation of an equal employment opportunity program on Federal and Federal-aid highway construction contracts;
- 7) The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) and 49CFR parts 27, 37, and 38;
- 8) The Older Americans Act, as amended (42 U.S.C. 6101), prohibiting discrimination on the basis of age in programs or activities receiving Federal financial assistance;

- 9) Section 324 of title 23 U.S.C. regarding the prohibition of discrimination based on gender; and
- 10) Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794) and 49 CFR part 27 regarding discrimination against individuals with disabilities.

**WHEREAS**, the AMBAG is an eligible recipient of Federal, State, and local funding; and

**WHEREAS**, the Executive Director is authorized to enter into contracts for grants awarded for Federal, State, and local funding; and

**WHEREAS**, the AMBAG wishes to delegate authorization to execute any agreements and any amendments to the AMBAG Executive Director; and

**WHEREAS**, AMBAG's 2026-2027 fiscal year Overall Work Program and Budget describes the work and tasks to be completed; and

**WHEREAS**, the Overall Work Program and Budget provide for the funds necessary for AMBAG to accomplish its stated work and tasks in FY 2026-2027.

**NOW, THEREFORE BE IT RESOLVED** that the Board of Directors of the Association of Monterey Bay Area Governments:

1. Does hereby adopt the AMBAG FY 2026-2027 Overall Work Program and Budget including the list of AMBAG approved positions and salary ranges; and
2. Authorizes AMBAG staff to execute Overall Work Program Agreements to release federal and state transportation planning funds for Overall Work Program use; and
3. Certifies that the metropolitan transportation planning process is being carried out in conformance with applicable laws and regulations; and
4. Authorizes the AMBAG Executive Director or her designee to enter into contracts for grants awarded for Federal, State, and local funding, and
5. Authorizes the Executive Director or her designee to take further actions as may be necessary to give effect to this resolution, such as executing amendments and certification for funding applications.

**PASSED AND ADOPTED** this 13<sup>th</sup> day of May, 2026.

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Brian McCarthy, President

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Maura F. Twomey, Executive Director

**Attachment 2**

**FY 2026/2027 FHWA and FTA Metropolitan Transportation Planning Process Self-Certification**

In accordance with 23 CFR part 450, the California Department of Transportation and \_\_\_\_\_, the designated Metropolitan Planning Organization for the \_\_\_\_\_ urbanized area(s), hereby certify that the transportation planning process is being carried out in accordance with all applicable requirements including:

- 1) 23 U.S.C. 134, 49 U.S.C. 5303, and subpart C of 23 CFR part 450;
- 2) In nonattainment and maintenance areas, sections 174 and 176(c) and (d) of the Clean Air Act, as amended (42 U.S.C. 7504, 7506(c) and (d)) and 40 CFR part 93;
- 3) Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d–1) and 49 CFR part 21;
- 4) 49 U.S.C. 5332, prohibiting discrimination on the basis of race, color, creed, national origin, sex, or age in employment or business opportunity;
- 5) Section 1101(b) of the FAST Act (Pub. L. 114-94) and 49 CFR part 26 regarding the involvement of disadvantaged business enterprises in USDOT funded projects;
- 6) 23 CFR part 230, regarding the implementation of an equal employment opportunity program on Federal and Federal-aid highway construction contracts;
- 7) The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) and 49 CFR parts 27, 37, and 38;
- 8) The Older Americans Act, as amended (42 U.S.C. 6101), prohibiting discrimination on the basis of age in programs or activities receiving Federal financial assistance;
- 9) Section 324 of title 23 U.S.C. regarding the prohibition of discrimination based on gender; and
- 10) Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794) and 49 CFR part 27 regarding discrimination against individuals with disabilities.

\_\_\_\_\_  
MPO Authorizing Signature

\_\_\_\_\_  
Caltrans District Approval Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

*Not every provision of every certification will apply to every applicant or award. If a provision of a certification does not apply to the applicant or its award, FTA will not enforce that provision.*

*Text in italic is not part of a certification and is of no legal effect. Its purpose is to provide explanation and context for the certification.*

### **CATEGORY 1. CERTIFICATIONS AND ASSURANCES REQUIRED OF EVERY APPLICANT.**

*All applicants must make the certifications in this category.*

#### **1.1. Standard Assurances.**

*The certifications in this subcategory appear as part of the applicant's registration or annual registration renewal in the System for Award Management (SAM.gov) and on the Office of Management and Budget's standard form 424B "Assurances—Non-Construction Programs". This certification has been modified in places to include analogous certifications required by U.S. DOT statutes or regulations.*

As the duly authorized representative of the applicant, you certify that the applicant:

- (a) Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
- (b) Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- (c) Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- (d) Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- (e) Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§ 4728–4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 CFR 900, Subpart F).
- (f) Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to:

- (1) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin, as effectuated by U.S. DOT regulation 49 CFR Part 21, including any amendments thereto;
  - (2) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681–1683, and 1685–1686), which prohibits discrimination on the basis of sex, as effectuated by U.S. DOT regulation 49 CFR Part 25;
  - (3) Section 5332 of the Federal Transit Law (49 U.S.C. § 5332), which prohibits any person being excluded from participating in, denied a benefit of, or discriminated against under, a project, program, or activity receiving financial assistance from FTA because of race, color, religion, national origin, sex, disability, or age.
  - (4) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794), which prohibits discrimination on the basis of handicaps, as effectuated by U.S. DOT regulation 49 CFR Part 27;
  - (5) The Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101–6107), which prohibits discrimination on the basis of age;
  - (6) The Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse;
  - (7) The comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91–616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism;
  - (8) Sections 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§ 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records;
  - (9) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§ 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental, or financing of housing;
  - (10) Any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and,
  - (11) the requirements of any other nondiscrimination statute(s) which may apply to the application.
- (g) Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (“Uniform Act”) (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases. The requirements of the Uniform Act are effectuated by U.S. DOT regulation 49 CFR Part 24.
- (h) Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§ 1501–1508 and 7324–7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

- (i) Will comply, as applicable, with the provisions of the Davis–Bacon Act (40 U.S.C. §§ 276a to 276a-7), the Copeland Act (40 U.S.C. § 276c and 18 U.S.C. § 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327–333), regarding labor standards for federally assisted construction sub-agreements.
- (j) Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- (k) Will comply with environmental standards which may be prescribed pursuant to the following:
  - (1) Institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514;
  - (2) Notification of violating facilities pursuant to EO 11738;
  - (3) Protection of wetlands pursuant to EO 11990;
  - (4) Evaluation of flood hazards in floodplains in accordance with EO 11988;
  - (5) Assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.);
  - (6) Conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§ 7401 et seq.);
  - (7) Protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and
  - (8) Protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93–205).
- (l) Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- (m) Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. § 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§ 469a-1 et seq.).
- (n) Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
- (o) Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§ 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.

- (p) Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- (q) Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and 2 CFR Part 200, Subpart F, “Audit Requirements”, as adopted and implemented by U.S. DOT at 2 CFR Part 1201.
- (r) Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing the program under which it is applying for assistance.
- (s) Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. § 7104) which prohibits grant award recipients or a subrecipient from:
  - (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect;
  - (2) Procuring a commercial sex act during the period of time that the award is in effect; or
  - (3) Using forced labor in the performance of the award or subawards under the award.

## **1.2. Standard Assurances: Additional Assurances for Construction Projects.**

*This certification appears on the Office of Management and Budget’s standard form 424D “Assurances—Construction Programs” and applies specifically to federally assisted projects for construction. This certification has been modified in places to include analogous certifications required by U.S. DOT statutes or regulations.*

As the duly authorized representative of the applicant, you certify that the applicant:

- (a) Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency; will record the Federal awarding agency directives; and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure nondiscrimination during the useful life of the project.
- (b) Will comply with the requirements of the assistance awarding agency with regard to the drafting, review, and approval of construction plans and specifications.
- (c) Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications, and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.

### 1.3. Procurement.

*The Uniform Administrative Requirements, 2 CFR § 200.325, allow a recipient to self-certify that its procurement system complies with Federal requirements, in lieu of submitting to certain pre-procurement reviews. Additionally, 2 CFR § 200.216(e) states that by accepting a grant, the recipient is certifying its compliance with that section (“Prohibition on certain telecommunications and video surveillance equipment or services”).*

The applicant certifies that its procurement system complies with:

- (a) U.S. DOT regulations, “Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards,” 2 CFR Part 1201, which incorporates by reference U.S. OMB regulatory guidance, “Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards,” 2 CFR Part 200, particularly 2 CFR §§ 200.317–200.327 “Procurement Standards;
- (b) Federal laws, regulations, and requirements applicable to FTA procurements; and
- (c) The latest edition of FTA Circular 4220.1 and other applicable Federal guidance.
- (d) 2 CFR § 200.216, “Prohibition on certain telecommunications and video surveillance equipment or services.”

### 1.4. Increased Micro-Purchase Threshold.

*A recipient may establish a micro-purchase threshold that is higher than the Federal micro-purchase threshold. Pursuant to 2 CFR § 200.320(a)(1)(iv), the recipient may self-certify a micro-purchase threshold up to \$50,000. Pursuant to 2 CFR § 200.320(a)(1)(v), the recipient may set a micro-purchase threshold higher than \$50,000, but only with the approval of the recipient’s Federal cognizant agency for indirect costs. To determine an applicant’s cognizant agency for indirect costs, consult the definition of “cognizant agency for indirect costs” in 2 CFR § 200.1.*

If the recipient uses a micro-purchase threshold that is higher than the Federal micro-purchase threshold, the recipient certifies:

- (a) The recipient’s micro-purchase threshold does not exceed \$50,000, or the recipient has approval from its Federal cognizant agency for indirect costs to use a higher micro-purchase threshold;
- (b) The recipient has a written justification for its micro-purchase threshold; and
- (c) The recipient has supporting documentation of any of the following:
  - (1) The recipient qualifies as a low-risk auditee, in accordance with the criteria in 2 CFR § 200.520 for the most recent audit;
  - (2) The recipient has an annual internal institutional risk assessment to identify, mitigate, and manage financial risks; or

- (3) For public institutions, a higher threshold is consistent with State law.

### **1.5. Suspension and Debarment.**

*Pursuant to Executive Order 12549, as implemented at 2 CFR Parts 180 and 1200, prior to entering into a covered transaction with an applicant, FTA must determine whether the applicant is excluded from participating in covered non-procurement transactions. For this purpose, FTA is authorized to collect a certification from each applicant regarding the applicant's exclusion status. 2 CFR § 180.300. Additionally, each applicant must disclose any information required by 2 CFR § 180.335 about the applicant and the applicant's principals prior to entering into an award agreement with FTA. This certification serves both purposes.*

The applicant certifies, to the best of its knowledge and belief, that the applicant and each of its principals:

- (a) Is not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily or involuntarily excluded from covered transactions by any Federal department or agency;
- (b) Has not, within the preceding three years, been convicted of or had a civil judgment rendered against him or her for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public or private agreement or transaction; violation of Federal or State antitrust statutes, including those proscribing price fixing between competitors, allocation of customers between competitors, and bid rigging; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, receiving stolen property, making false claims, or obstruction of justice; or commission of any other offense indicating a lack of business integrity or business honesty;
- (c) Is not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any offense described in paragraph (b) of this certification; and
- (d) Has not, within the preceding three years, had one or more public transactions (Federal, State, or local) terminated for cause or default.

### **1.6. Lobbying.**

*If the applicant will apply for a grant or cooperative agreement exceeding \$100,000, or a loan, line of credit, loan guarantee, or loan insurance exceeding \$150,000, it must make the following certification and, if applicable, make a disclosure regarding the applicant's lobbying activities. This certification is required by 49 CFR § 20.110 and app. A to that part.*

*This certification does not apply to an applicant that is an Indian Tribe, Indian organization, or an Indian tribal organization exempt from the requirements of 49 CFR Part 20.*

**1.6.1. Certification for Contracts, Grants, Loans, and Cooperative Agreements.**

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**1.6.2. Statement for Loan Guarantees and Loan Insurance.**

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement

shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

### **1.7. Real Property Use**

*This certification responds to Recommendation #7 in the U.S. Department of Transportation's Office of Inspector General Report FS2024025 (May 20, 2024).*

If the applicant will use assistance provided by the Federal Transit Administration to acquire or improve real property, the applicant certifies that it will comply with the requirements of 2 CFR § 200.311, including but not limited to, requirements to use the property for the purposes authorized in its award, and to seek disposition instructions from FTA when the property no longer is needed for any authorized purpose.

## **CATEGORY 2. PUBLIC TRANSPORTATION AGENCY SAFETY PLANS**

*This certification is required of each applicant under the Urbanized Area Formula Grants Program (49 U.S.C. § 5307), each rail operator that is subject to FTA's state safety oversight programs, and each State that is required to draft and certify a Public Transportation Agency Safety Plan on behalf of a Small Public Transportation Provider (as that term is defined at 49 CFR § 673.5) pursuant to 49 CFR § 673.11(d).*

*This certification is required by 49 U.S.C. § 5307(c)(1)(L), 49 U.S.C. § 5329(d)(1), and 49 CFR § 673.13. This certification is a condition of receipt of Urbanized Area Formula Grants Program (49 U.S.C. § 5307) funding.*

*This certification does not apply to any applicant that only receives financial assistance from FTA under the Formula Grants for the Enhanced Mobility of Seniors Program (49 U.S.C. § 5310), the Formula Grants for Rural Areas Program (49 U.S.C. § 5311), or combination of these two programs, unless it operates a rail fixed guideway public transportation system.*

If the applicant is an operator, the applicant certifies that it has established a Public Transportation Agency Safety Plan meeting the requirements of 49 U.S.C. § 5329(d)(1) and 49 CFR Part 673; including, specifically, that the board of directors (or equivalent entity) of the applicant has approved, or, in the case of an applicant that will apply for assistance under 49 U.S.C. § 5307 that is serving an urbanized area with a population of 200,000 or more, the safety committee of the entity established under 49 U.S.C. § 5329(d)(5), followed by the board of directors (or equivalent entity) of the applicant has approved, the Public Transportation Agency Safety Plan or any updates thereto; and, for each recipient serving an urbanized area with a population of fewer than 200,000, that the Public Transportation Agency Safety Plan has been developed in cooperation with frontline employee representatives.

If the applicant is a State that drafts and certifies a Public Transportation Agency Safety Plan on behalf of a public transportation operator, the applicant certifies that:

- (a) It has drafted and certified a Public Transportation Agency Safety Plan meeting the requirements of 49 U.S.C. § 5329(d)(1) and 49 CFR Part 673 for each Small Public Transportation Provider (as that term is defined at 49 CFR § 673.5) in the State, unless the Small Public Transportation Provider provided notification to the State that it was opting out of the State-drafted plan and drafting its own Public Transportation Agency Safety Plan; and
- (b) Each Small Public Transportation Provider within the State that opts to use a State-drafted Public Transportation Agency Safety Plan has a plan that has been approved by the provider's Accountable Executive (as that term is defined at 49 CFR § 673.5), Board of Directors or Equivalent Authority (as that term is defined at 49 CFR § 673.5), and, if the Small Public Transportation Provider serves an urbanized area with a population of 200,000 or more, the safety committee of the Small Public Transportation Provider established under 49 U.S.C. § 5329(d)(5).

### **CATEGORY 3. TAX LIABILITY AND FELONY CONVICTIONS.**

*If the applicant is a business association (regardless of for-profit, not for-profit, or tax-exempt status), it must make this certification. Federal appropriations acts since at least 2014 have prohibited FTA from using funds to enter into an agreement with any corporation that has unpaid Federal tax liabilities or recent felony convictions without first considering the corporation for debarment. E.g., Consolidated Appropriations Act, 2026, Pub. L. 119-75, div. E, tit. VII §§ 744-745. U.S. DOT Order 4200.6 defines a "corporation" as "any private corporation, partnership, trust, joint-stock company, sole proprietorship, or other business association", and applies the restriction to all tiers of subawards. As prescribed by U.S. DOT Order 4200.6, FTA requires each business association applicant to certify as to its tax and felony status.*

If the applicant is a private corporation, partnership, trust, joint-stock company, sole proprietorship, or other business association, the applicant certifies that:

- (a) It has no unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability; and
- (b) It has not been convicted of a felony criminal violation under any Federal law within the preceding 24 months.

**CATEGORY 4. PRIVATE SECTOR PROTECTIONS.**

*If the applicant will apply for funds that it will use to acquire or operate public transportation facilities or equipment, the applicant must make the following certification regarding protections for the private sector.*

**4.1. Charter Service Agreement.**

*To enforce the provisions of 49 U.S.C. § 5323(d), FTA's charter service regulation requires each applicant seeking assistance from FTA for the purpose of acquiring or operating any public transportation equipment or facilities to make the following Charter Service Agreement. 49 CFR § 604.4.*

The applicant agrees that it, and each of its subrecipients, and third-party contractors at any level who use FTA-funded vehicles, may provide charter service using equipment or facilities acquired with Federal assistance authorized under the Federal Transit Laws only in compliance with the regulations set out in 49 CFR Part 604, the terms and conditions of which are incorporated herein by reference.

**4.2. School Bus Agreement.**

*To enforce the provisions of 49 U.S.C. § 5323(f), FTA's school bus regulation requires each applicant seeking assistance from FTA for the purpose of acquiring or operating any public transportation equipment or facilities to make the following agreement regarding the provision of school bus services. 49 CFR § 605.15.*

- (a) If the applicant is not authorized by the FTA Administrator under 49 CFR § 605.11 to engage in school bus operations, the applicant agrees and certifies as follows:
  - (1) The applicant and any operator of project equipment agrees that it will not engage in school bus operations in competition with private school bus operators.
  - (2) The applicant agrees that it will not engage in any practice which constitutes a means of avoiding the requirements of this agreement, part 605 of the Federal Mass Transit Regulations, or section 164(b) of the Federal-Aid Highway Act of 1973 (49 U.S.C. 1602a(b)).
- (b) If the applicant is authorized or obtains authorization from the FTA Administrator to engage in school bus operations under 49 CFR § 605.11, the applicant agrees as follows:
  - (1) The applicant agrees that neither it nor any operator of project equipment will engage in school bus operations in competition with private school bus operators except as provided herein.
  - (2) The applicant, or any operator of project equipment, agrees to promptly notify the FTA Administrator of any changes in its operations which might jeopardize the continuation of an exemption under § 605.11.

- (3) The applicant agrees that it will not engage in any practice which constitutes a means of avoiding the requirements of this agreement, part 605 of the Federal Transit Administration regulations or section 164(b) of the Federal-Aid Highway Act of 1973 (49 U.S.C. 1602a(b)).
- (4) The applicant agrees that the project facilities and equipment shall be used for the provision of mass transportation services within its urban area and that any other use of project facilities and equipment will be incidental to and shall not interfere with the use of such facilities and equipment in mass transportation service to the public.

#### **CATEGORY 5. TRANSIT ASSET MANAGEMENT PLAN.**

*If the applicant owns, operates, or manages capital assets used to provide public transportation, the following certification is required by 49 U.S.C. § 5326(a).*

The applicant certifies that it is in compliance with 49 CFR Part 625.

#### **CATEGORY 6. ROLLING STOCK BUY AMERICA REVIEWS AND BUS TESTING.**

##### **6.1. Rolling Stock Buy America Reviews.**

*If the applicant will apply for an award to acquire rolling stock for use in revenue service, it must make this certification. This certification is required by 49 CFR § 663.7.*

The applicant certifies that it will conduct or cause to be conducted the pre-award and post-delivery audits prescribed by 49 CFR Part 663 and will maintain on file the certifications required by Subparts B, C, and D of 49 CFR Part 663.

##### **6.2. Bus Testing.**

*If the applicant will apply for funds for the purchase or lease of any new bus model, or any bus model with a major change in configuration or components, the applicant must make this certification. This certification is required by 49 CFR § 665.7.*

The applicant certifies that the bus was tested at the Bus Testing Facility established in accordance with 49 U.S.C. § 5318 (currently the Larson Transportation Institute's Bus Research and Testing Center at Pennsylvania State University) and that the bus received a passing test score as required by 49 CFR Part 665. The applicant has received or will receive the appropriate full Bus Testing Report and any applicable partial testing reports before final acceptance of the first vehicle.

**CATEGORY 7. URBANIZED AREA FORMULA GRANTS PROGRAM.**

*If the applicant will apply for an award under the Urbanized Area Formula Grants Program (49 U.S.C. § 5307), or any other program or award that is subject to the requirements of 49 U.S.C. § 5307, including the Formula Grants for the Enhanced Mobility of Seniors Program (49 U.S.C. § 5310); “flex funds” from infrastructure programs administered by the Federal Highways Administration (see 49 U.S.C. § 5334(i)); projects that will receive an award authorized by the Transportation Infrastructure Finance and Innovation Act (“TIFIA”) (23 U.S.C. §§ 601–609) or State Infrastructure Bank Program (23 U.S.C. § 610) (see 49 U.S.C. § 5323(o)); formula awards or competitive awards to urbanized areas under the Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339(a) and (b)); or low or no emission awards to any area under the Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339(c)), the applicant must make the following certification. This certification is required by 49 U.S.C. § 5307(c)(1).*

The applicant certifies that it:

- (a) Has or will have the legal, financial, and technical capacity to carry out the program of projects (developed pursuant 49 U.S.C. § 5307(b)), including safety and security aspects of the program;
- (b) Has or will have satisfactory continuing control over the use of equipment and facilities;
- (c) Will maintain equipment and facilities in accordance with the applicant’s transit asset management plan;
- (d) Will ensure that, during non-peak hours for transportation using or involving a facility or equipment of a project financed under this section, a fare that is not more than 50 percent of the peak hour fare will be charged for any—
  - (1) Senior;
  - (2) Individual who, because of illness, injury, age, congenital malfunction, or any other incapacity or temporary or permanent disability (including an individual who is a wheelchair user or has semi-ambulatory capability), cannot use a public transportation service or a public transportation facility effectively without special facilities, planning, or design; and
  - (3) Individual presenting a Medicare card issued to that individual under title II or XVIII of the Social Security Act (42 U.S.C. §§ 401 et seq., and 1395 et seq.);
- (e) In carrying out a procurement under 49 U.S.C. § 5307, will comply with 49 U.S.C. §§ 5323 (general provisions) and 5325 (contract requirements);
- (f) Has complied with 49 U.S.C. § 5307(b) (program of projects requirements);
- (g) Has available and will provide the required amounts as provided by 49 U.S.C. § 5307(d) (cost sharing);
- (h) Will comply with 49 U.S.C. §§ 5303 (metropolitan transportation planning) and 5304 (statewide and nonmetropolitan transportation planning);

- (i) Has a locally developed process to solicit and consider public comment before raising a fare or carrying out a major reduction of transportation;
- (j) Either—
  - (1) Will expend for each fiscal year for public transportation security projects, including increased lighting in or adjacent to a public transportation system (including bus stops, subway stations, parking lots, and garages), increased camera surveillance of an area in or adjacent to that system, providing an emergency telephone line to contact law enforcement or security personnel in an area in or adjacent to that system, and any other project intended to increase the security and safety of an existing or planned public transportation system, at least 1 percent of the amount the recipient receives for each fiscal year under 49 U.S.C. § 5336; or
  - (2) Has decided that the expenditure for security projects is not necessary;
- (k) In the case of an applicant for an urbanized area with a population of not fewer than 200,000 individuals, as determined by the Bureau of the Census, will submit an annual report listing projects carried out in the preceding fiscal year under 49 U.S.C. § 5307 for associated transit improvements as defined in 49 U.S.C. § 5302; and
- (l) Will comply with 49 U.S.C. § 5329(d) (public transportation agency safety plan).

#### **CATEGORY 8. FORMULA GRANTS FOR RURAL AREAS.**

*If the applicant will apply for funds made available to it under the Formula Grants for Rural Areas Program (49 U.S.C. § 5311), it must make this certification. Paragraph (a) of this certification helps FTA make the determinations required by 49 U.S.C. § 5311(b)(2)(C). Paragraph (b) of this certification is required by 49 U.S.C. § 5311(f)(2). Paragraph (c) of this certification, which applies to funds apportioned for the Appalachian Development Public Transportation Assistance Program, is necessary to enforce the conditions of 49 U.S.C. § 5311(c)(2)(D).*

- (a) The applicant certifies that its State program for public transportation service projects, including agreements with private providers for public transportation service—
  - (1) Provides a fair distribution of amounts in the State, including Indian reservations; and
  - (2) Provides the maximum feasible coordination of public transportation service assisted under 49 U.S.C. § 5311 with transportation service assisted by other Federal sources; and
- (b) If the applicant will in any fiscal year expend less than 15% of the total amount made available to it under 49 U.S.C. § 5311 to carry out a program to develop and support intercity bus transportation, the applicant certifies that it has consulted with affected

intercity bus service providers, and the intercity bus service needs of the State are being met adequately.

- (c) If the applicant will use for a highway project amounts that cannot be used for operating expenses authorized under 49 U.S.C. § 5311(c)(2) (Appalachian Development Public Transportation Assistance Program), the applicant certifies that—
  - (1) It has approved the use in writing only after providing appropriate notice and an opportunity for comment and appeal to affected public transportation providers; and
  - (2) It has determined that otherwise eligible local transit needs are being addressed.

**CATEGORY 9. FIXED GUIDEWAY CAPITAL INVESTMENT GRANTS AND THE EXPEDITED PROJECT DELIVERY FOR CAPITAL INVESTMENT GRANTS PILOT PROGRAM.**

*If the applicant will apply for an award under any subsection of the Fixed Guideway Capital Investment Program (49 U.S.C. § 5309), including an award made pursuant to the FAST Act’s Expedited Project Delivery for Capital Investment Grants Pilot Program (Pub. L. 114-94, div. A, title III, § 3005(b)), the applicant must make the following certification. This certification is required by 49 U.S.C. § 5309(c)(2) and Pub. L. 114-94, div. A, title III, § 3005(b)(3)(B).*

The applicant certifies that it:

- (a) Has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award,
- (b) Has or will have satisfactory continuing control over the use of equipment and facilities acquired or improved under its Award.
- (c) Will maintain equipment and facilities acquired or improved under its Award in accordance with its transit asset management plan; and
- (d) Will comply with 49 U.S.C. §§ 5303 (metropolitan transportation planning) and 5304 (statewide and nonmetropolitan transportation planning).

**CATEGORY 10. GRANTS FOR BUSES AND BUS FACILITIES AND LOW OR NO EMISSION VEHICLE DEPLOYMENT GRANT PROGRAMS.**

*If the applicant is in an urbanized area and will apply for an award under subsection (a) (formula grants), subsection (b) (buses and bus facilities competitive grants), or subsection (c) (low or no emissions grants) of the Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339), the applicant must make the certification in Category 7 for Urbanized Area Formula Grants (49 U.S.C. § 5307). This certification is required by 49 U.S.C. § 5339(a)(3), (b)(6), and (c)(3), respectively.*

*If the applicant is in a rural area and will apply for an award under subsection (a) (formula grants), subsection (b) (bus and bus facilities competitive grants), or subsection (c) (low or no emissions grants) of the Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339), the applicant must make the certification in Category 8 for Formula Grants for Rural Areas (49 U.S.C. § 5311). This certification is required by 49 U.S.C. § 5339(a)(3), (b)(6), and (c)(3), respectively.*

*Making this certification will incorporate by reference the applicable certifications in Category 7 or Category 8.*

*If the applicant will receive a competitive award under subsection (b) (buses and bus facilities competitive grants), or subsection (c) (low or no emissions grants) of the Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339) related to zero emissions vehicles or related infrastructure, it must make the following certification. This certification is required by 49 U.S.C. § 5339(d).*

The applicant will use 5 percent of grants related to zero emissions vehicles (as defined in 49 U.S.C. § 5339(c)(1)) or related infrastructure under 49 U.S.C. § 5339(b) or (c) to fund workforce development training as described in section 49 U.S.C. § 5314(b)(2) (including registered apprenticeships and other labor-management training programs) under the recipient's plan to address the impact of the transition to zero emission vehicles on the applicant's current workforce; or the applicant certifies a smaller percentage is necessary to carry out that plan.

#### **CATEGORY 11. ENHANCED MOBILITY OF SENIORS AND INDIVIDUALS WITH DISABILITIES PROGRAMS.**

*If the applicant will apply for an award under the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program (49 U.S.C. § 5310), it must make the certification in Category 7 for Urbanized Area Formula Grants (49 U.S.C. § 5307). This certification is required by 49 U.S.C. § 5310(e)(1). Making this certification will incorporate by reference the certification in Category 7, except that FTA has determined that (d), (f), (i), (j), and (k) of Category 7 do not apply to awards made under 49 U.S.C. § 5310 and will not be enforced.*

*In addition to the certification in Category 7, the applicant must make the following certification that is specific to the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program. This certification is required by 49 U.S.C. § 5310(e)(2).*

The applicant certifies that:

- (a) The projects selected by the applicant are included in a locally developed, coordinated public transit-human services transportation plan;

- (b) The plan described in clause (a) was developed and approved through a process that included participation by seniors, individuals with disabilities, representatives of public, private, and nonprofit transportation and human services providers, and other members of the public;
- (c) To the maximum extent feasible, the services funded under 49 U.S.C. § 5310 will be coordinated with transportation services assisted by other Federal departments and agencies, including any transportation activities carried out by a recipient of a grant from the Department of Health and Human Services; and
- (d) If the applicant will allocate funds received under 49 U.S.C. § 5310 to subrecipients, it will do so on a fair and equitable basis.

### **CATEGORY 12. STATE OF GOOD REPAIR GRANTS.**

*If the applicant will apply for an award under FTA's State of Good Repair Grants Program (49 U.S.C. § 5337), it must make the following certification. Because FTA generally does not review the transit asset management plans of public transportation providers, the asset management certification is necessary to enforce the provisions of 49 U.S.C. § 5337(a)(4). The certification with regard to acquiring restricted rail rolling stock is required by 49 U.S.C. § 5323(u)(4). Note that this certification is not limited to the use of Federal funds.*

The applicant certifies that the projects it will carry out using assistance authorized by the State of Good Repair Grants Program, 49 U.S.C. § 5337, are aligned with the applicant's most recent transit asset management plan and are identified in the investment and prioritization section of such plan, consistent with the requirements of 49 CFR Part 625.

If the applicant operates a rail fixed guideway service, the applicant certifies that, in the fiscal year for which an award is available to the applicant under the State of Good Repair Grants Program, 49 U.S.C. § 5337, the applicant will not award any contract or subcontract for the procurement of rail rolling stock for use in public transportation with a rail rolling stock manufacturer described in 49 U.S.C. § 5323(u)(1).

### **CATEGORY 13. INFRASTRUCTURE FINANCE PROGRAMS.**

*If the applicant will apply for an award for a project that will include assistance under the Transportation Infrastructure Finance and Innovation Act ("TIFIA") Program (23 U.S.C. §§ 601–609) or the State Infrastructure Banks ("SIB") Program (23 U.S.C. § 610), it must make the certifications in Category 7 for the Urbanized Area Formula Grants Program, Category 9 for the Fixed Guideway Capital Investment Grants program, and Category 12 for the State of Good Repair Grants program. These certifications are required by 49 U.S.C. § 5323(o).*

*Making this certification will incorporate the certifications in Categories 7, 9, and 12 by reference.*

**CATEGORY 14. ALCOHOL AND CONTROLLED SUBSTANCES TESTING.**

*If the applicant will apply for an award under FTA’s Urbanized Area Formula Grants Program (49 U.S.C. § 5307), Fixed Guideway Capital Investment Program (49 U.S.C. § 5309), Formula Grants for Rural Areas Program (49 U.S.C. § 5311), or Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339) programs, the applicant must make the following certification. The applicant must make this certification on its own behalf and on behalf of its subrecipients and contractors. This certification is required by 49 CFR § 655.83.*

The applicant certifies that it, its subrecipients, and its contractors are compliant with FTA’s regulation for the Prevention of Alcohol Misuse and Prohibited Drug Use in Transit Operations, 49 CFR Part 655.

**CATEGORY 15. RAIL SAFETY TRAINING AND OVERSIGHT.**

*If the applicant is a State with at least one rail fixed guideway system, or is a State Safety Oversight Agency, or operates a rail fixed guideway system, it must make the following certification. The elements of this certification are required by 49 CFR §§ 672.31 and 674.39.*

The applicant certifies that the rail fixed guideway public transportation system and the State Safety Oversight Agency for the State are:

- (a) Compliant with the requirements of 49 CFR Part 672, “Public Transportation Safety Certification Training Program”; and
- (b) Compliant with the requirements of 49 CFR Part 674, “State Safety Oversight”.

**CATEGORY 16. DEMAND RESPONSIVE SERVICE.**

*If the applicant operates demand responsive service and will apply for an award to purchase a non-rail vehicle that is not accessible within the meaning of 49 CFR Part 37, it must make the following certification. This certification is required by 49 CFR § 37.77.*

The applicant certifies that the service it provides to individuals with disabilities is equivalent to that provided to other persons. A demand responsive system, when viewed in its entirety, is deemed to provide equivalent service if the service available to individuals with disabilities, including individuals who use wheelchairs, is provided in the most integrated setting appropriate to the needs of the individual and is equivalent to the service provided other individuals with respect to the following service characteristics:

- (a) Response time;
- (b) Fares;
- (c) Geographic area of service;
- (d) Hours and days of service;

- (e) Restrictions or priorities based on trip purpose;
- (f) Availability of information and reservation capability; and
- (g) Any constraints on capacity or service availability.

#### **CATEGORY 17. INTEREST AND FINANCING COSTS.**

*If the applicant will pay for interest or other financing costs of a project using assistance awarded under the Urbanized Area Formula Grants Program (49 U.S.C. § 5307), the Fixed Guideway Capital Investment Grants Program (49 U.S.C. § 5309), or any program that must comply with the requirements of 49 U.S.C. § 5307, including the Formula Grants for the Enhanced Mobility of Seniors Program (49 U.S.C. § 5310), “flex funds” from infrastructure programs administered by the Federal Highways Administration (see 49 U.S.C. § 5334(i)), or awards to urbanized areas under the Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339), the applicant must make the following certification. This certification is required by 49 U.S.C. §§ 5307(e)(3) and 5309(k)(2)(D).*

The applicant certifies that:

- (a) Its application includes the cost of interest earned and payable on bonds issued by the applicant only to the extent proceeds of the bonds were or will be expended in carrying out the project identified in its application; and
- (b) The applicant has shown or will show reasonable diligence in seeking the most favorable financing terms available to the project at the time of borrowing.

#### **CATEGORY 18. CYBERSECURITY CERTIFICATION FOR RAIL ROLLING STOCK AND OPERATIONS.**

*If the applicant operates a rail fixed guideway public transportation system, it must make this certification. This certification is required by 49 U.S.C. § 5323(v). For information about standards or practices that may apply to a rail fixed guideway public transportation system, visit <https://www.nist.gov/cyberframework> and <https://www.cisa.gov/>.*

The applicant certifies that it has established a process to develop, maintain, and execute a written plan for identifying and reducing cybersecurity risks that complies with the requirements of 49 U.S.C. § 5323(v)(2).

#### **CATEGORY 19. PUBLIC TRANSPORTATION ON INDIAN RESERVATIONS FORMULA AND DISCRETIONARY PROGRAM (TRIBAL TRANSIT PROGRAMS).**

*Before FTA may provide Federal assistance for an Award financed under either the Public Transportation on Indian Reservations Formula or Discretionary Program authorized under 49 U.S.C. § 5311(c)(1), as amended by the FAST Act, (Tribal Transit Programs), the applicant*

*must select the Certifications in this Category, except as FTA determines otherwise in writing. Tribal Transit Program applicants may certify to this Category and Category 1 (Certifications and Assurances Required of Every Applicant) and need not make any other certification, to meet Tribal Transit Program certification requirements. If an applicant will apply for any program in addition to the Tribal Transit Program, additional certifications may be required.*

FTA has established terms and conditions for Tribal Transit Program grants financed with Federal assistance appropriated or made available under 49 U.S.C. § 5311(c)(1). The applicant certifies that:

- (a) It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
- (b) It has or will have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award.
- (c) It will maintain its equipment and facilities acquired or improved under its Award, in accordance with its transit asset management plan and consistent with FTA regulations, “Transit Asset Management,” 49 CFR Part 625. Its Award will achieve maximum feasible coordination with transportation service financed by other federal sources.
- (d) With respect to its procurement system:
  - (1) It will have a procurement system that complies with U.S. DOT regulations, “Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards,” 2 CFR Part 1201, which incorporates by reference U.S. OMB regulatory guidance, “Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards,” 2 CFR Part 200, for Awards made on or after December 26, 2014,
  - (2) It will have a procurement system that complies with U.S. DOT regulations, “Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments,” 49 CFR Part 18, specifically former 49 CFR § 18.36, for Awards made before December 26, 2014, or
  - (3) It will inform FTA promptly if its procurement system does not comply with either of those U.S. DOT regulations.
- (e) It will comply with the Certifications, Assurances, and Agreements in:
  - (1) Category 4.1 and 4.2 (Charter Service Agreement and School Bus Agreement),
  - (2) Category 5 (Transit Asset Management Plan),
  - (3) Category 6.1 and 6.2 (Rolling Stock Buy America Reviews and Bus Testing),
  - (4) Category 8 (Formula Grants for Rural Areas),
  - (5) Category 14 (Alcohol and Controlled Substances Testing), and
  - (6) Category 16 (Demand Responsive Service).

**CATEGORY 20. EMERGENCY RELIEF PROGRAM.**

*An applicant to the Public Transportation Emergency Relief Program, 49 U.S.C. § 5324, must make the following certification. The certification is required by 49 U.S.C. § 5324(f) and must be made before the applicant can receive a grant under the Emergency Relief program.*

The applicant certifies that the applicant has insurance required under State law for all structures related to the emergency relief program grant application.

**FEDERAL FISCAL YEAR 2025 CERTIFICATIONS AND ASSURANCES FOR FTA ASSISTANCE PROGRAMS**

(Signature pages alternate to providing Certifications and Assurances in TrAMS.)

Name of Applicant: Association of Monterey Bay Area Governments

The Applicant certifies to the applicable provisions of all categories: (*check here*) X.

*Or,*

The Applicant certifies to the applicable provisions of the categories it has selected:

| <b>Category</b>  | <b>Certification</b> |
|--|----------------------|
| 01 Certifications and Assurances Required of Every Applicant   | _____                |
| 02 Public Transportation Agency Safety Plans   | _____                |
| 03 Tax Liability and Felony Convictions  | _____                |
| 04 Private Sector Protections  | _____                |
| 05 Transit Asset Management Plan   | _____                |
| 06 Rolling Stock Buy America Reviews and Bus Testing   | _____                |
| 07 Urbanized Area Formula Grants Program   | _____                |
| 08 Formula Grants for Rural Areas  | _____                |
| 09 Fixed Guideway Capital Investment Grants and the Expedited Project Delivery for Capital Investment Grants Pilot Program | _____                |
| 10 Grants for Buses and Bus Facilities and Low or No Emission Vehicle Deployment Grant Programs                            | _____                |
| 11 Enhanced Mobility of Seniors and Individuals with Disabilities Programs   | _____                |

- 12 State of Good Repair Grants \_\_\_\_\_
- 13 Infrastructure Finance Programs \_\_\_\_\_
- 14 Alcohol and Controlled Substances Testing \_\_\_\_\_
- 15 Rail Safety Training and Oversight \_\_\_\_\_
- 16 Demand Responsive Service \_\_\_\_\_
- 17 Interest and Financing Costs \_\_\_\_\_
- 18 Cybersecurity Certification for Rail Rolling Stock and Operations \_\_\_\_\_
- 19 Tribal Transit Programs \_\_\_\_\_
- 20 Emergency Relief Program \_\_\_\_\_

**CERTIFICATIONS AND ASSURANCES SIGNATURE PAGE**

**AFFIRMATION OF APPLICANT**

Name of the Applicant: Association of Monterey Bay Area Governments

BY SIGNING BELOW, on behalf of the Applicant, I declare that it has duly authorized me to make these Certifications and Assurances and bind its compliance. Thus, it agrees to comply with all federal laws, regulations, and requirements, follow applicable federal guidance, and comply with the Certifications and Assurances as indicated on the foregoing page applicable to each application its Authorized Representative makes to the Federal Transit Administration (FTA) in the federal fiscal year, irrespective of whether the individual that acted on his or her Applicant’s behalf continues to represent it.

The Certifications and Assurances the Applicant selects apply to each Award for which it now seeks, or may seek in the future, of federal assistance to be awarded by FTA during the federal fiscal year.

The Applicant affirms the truthfulness and accuracy of the Certifications and Assurances it has selected in the statements submitted with this document and any other submission made to FTA, and acknowledges that the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 *et seq.*, and implementing U.S. DOT regulations, “Program Fraud Civil Remedies,” 49 CFR part 31, apply to any certification, assurance or submission made to FTA. The criminal provisions of 18 U.S.C. § 1001 apply to any certification, assurance, or submission made in connection with a federal public transportation program authorized by 49 U.S.C. chapter 53 or any other statute

In signing this document, I declare under penalties of perjury that the foregoing Certifications and Assurances, and any other statements made by me on behalf of the Applicant are true and accurate.

Signature \_\_\_\_\_ Date: 5/13/2026

Name \_\_\_\_\_ Authorized Representative of Applicant

**AFFIRMATION OF APPLICANT’S ATTORNEY**

For (Name of Applicant): Association of Monterey Bay Area Governments

As the undersigned Attorney for the above-named Applicant, I hereby affirm the Applicant has the authority under state, local, or tribal government law, as applicable, to make and comply with the Certifications and Assurances as indicated on the foregoing pages. I further affirm that, in my opinion, the Certifications and Assurances have been legally made and constitute legal and binding obligations on it.

I further affirm that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these Certifications and Assurances, or of the performance of its FTA assisted Award.

Signature \_\_\_\_\_ Date: \_\_\_\_\_

Name \_\_\_\_\_ Attorney for Applicant

*Each Applicant for federal assistance to be awarded by FTA must provide an Affirmation of Applicant’s Attorney pertaining to the Applicant’s legal capacity. The Applicant may enter its electronic signature in lieu of the Attorney’s signature within TrAMS, provided the Applicant has on file and uploaded to TrAMS this hard-copy Affirmation, signed by the attorney and dated this federal fiscal year.*

**Attachment 4**  
**Fiscal Year 2026/2027 California Department of Transportation**  
**Debarment and Suspension Certification**

*As required by U.S. DOT regulations on governmentwide Debarment and Suspension  
(Nonprocurement), 49 CFR 29.100:*

- 1) The Applicant certifies, to the best of its knowledge and belief, that it and its contractors, subcontractors and subrecipients:
  - a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
  - b) Have not, within the three (3) year period preceding this certification, been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) transaction or contract under a public transaction, violation of Federal or state antitrust statutes, or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, state, or local) with commission of any of the offenses listed in subparagraph (1)(b) of this certification; and
  - d) Have not, within the three (3) year period preceding this certification, had one or more public transactions (Federal, state, and local) terminated for cause or default.
- 2) The Applicant also certifies that, if Applicant later becomes aware of any information contradicting the statements of paragraph (1) above, it will promptly provide that information to the State.
- 3) If the Applicant is unable to certify to all statements in paragraphs (1) and (2) of this certification, through those means available to Applicant, including the General Services Administration's *Excluded Parties List System (EPLS)*, Applicant shall indicate so in its applications, or in the transmittal letter or message accompanying its annual certifications and assurances, and will provide a written explanation to the State.

March 2026

**CALIFORNIA DEPARTMENT OF TRANSPORTATION  
DEBARMENT AND SUSPENSION CERTIFICATION  
FISCAL YEAR 2026/2027**

**SIGNATURE PAGE**

In signing this document, I declare under penalties of perjury that the foregoing certifications and assurances, and any other statements made by me on behalf of the Applicant are true and correct.

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name \_\_\_\_\_

As the undersigned Attorney for the above named Applicant, I hereby affirm to the Applicant that it has the authority under state and local law to make and comply with the certifications and assurances as indicated on the foregoing pages. I further affirm that, in my opinion, these certifications and assurances have been legally made and constitute legal and binding obligations of the Applicant.

I further affirm to the Applicant that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these certifications and assurances or of the performance of the described project.

**AFFIRMATION OF APPLICANT'S ATTORNEY**

For \_\_\_\_\_ (Name of Applicant)

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name of Applicant's Attorney \_\_\_\_\_



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## 2026 AMBAG Calendar of Meetings

|                           |   |
|---------------------------|---|
| <b>June 10, 2026</b>      | <b>MBARD Board Room<br/>24580 Silver Cloud Court, Monterey, CA 93940<br/>Meeting Time: 6 pm</b> |
| <b>July 2026</b>          | <b>No Meeting Scheduled</b>   |
| <b>August 12, 2026</b>    | <b>MBARD Board Room<br/>24580 Silver Cloud Court, Monterey, CA 93940<br/>Meeting Time: 6 pm</b> |
| <b>September 9, 2026</b>  | <b>MBARD Board Room<br/>24580 Silver Cloud Court, Monterey, CA 93940<br/>Meeting Time: 6 pm</b> |
| <b>October 14, 2026</b>   | <b>MBARD Board Room<br/>24580 Silver Cloud Court, Monterey, CA 93940<br/>Meeting Time: 6 pm</b> |
| <b>*November 18, 2026</b> | <b>MBARD Board Room<br/>24580 Silver Cloud Court, Monterey, CA 93940<br/>Meeting Time: 6 pm</b> |
| <b>December 2026</b>      | <b>No Meeting Scheduled</b>   |

**\* The AMBAG November Board of Directors meeting has been moved to the third Wednesday of the month due to Veteran's Day Holiday.**

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| <b>AMBAG Acronym Guide</b> |  |
|----------------------------|--|
| ABM                        | Activity Based Model                                   |
| ACFR                       | Annual Comprehensive Financial Report                  |
| ADA                        | Americans Disabilities Act                             |
| ALUC                       | Airport Land Use Commission                            |
| AMBAG                      | Association of Monterey Bay Area Governments           |
| ARRA                       | American Reinvestment and Recovery Act                 |
| 3CE                        | Central Coast Community Energy                         |
| CAAA                       | Clean Air Act Amendments of 1990 (Federal Legislation) |
| Caltrans                   | California Department of Transportation                |
| CalVans                    | California Vanpool Authority                           |
| CARB                       | California Air Resources Board                         |
| CCJDC                      | Central Coast Joint Data Committee                     |
| CEQA                       | California Environmental Quality Act                   |
| CHTS                       | California Households Travel Survey                    |
| CMAQ                       | Congestion Mitigation and Air Quality Improvement      |
| CPUC                       | California Public Utilities Commission                 |
| CTC                        | California Transportation Commission                   |
| DEIR                       | Draft Environmental Impact Report                      |
| DEM                        | Digital Elevation Model                                |
| DOF                        | Department of Finance (State of California)            |
| EAC                        | Energy Advisory Committee                              |
| EIR                        | Environmental Impact Report                            |
| FAST Act                   | Fixing America’s Surface Transportation Act            |
| FHWA                       | Federal Highway Administration                         |
| FTA                        | Federal Transit Administration                         |
| FTIP                       | Federal Transportation Improvement Program             |
| GHG                        | Greenhouse Gas Emissions                               |
| GIS                        | Geographic Information System                          |
| ICAP                       | Indirect Cost Allocation Plan                          |
| IIJA                       | Infrastructure Investment and Jobs Act                 |
| ITS                        | Intelligent Transportation Systems                     |

|            |   |
|------------|---|
| JPA        | Joint Powers Authority  |
| LTA        | San Benito County Local Transportation Authority              |
| LTC        | Local Transportation Commission                               |
| MAP-21     | Moving Ahead for Progress in the 21 <sup>st</sup> Century Act |
| MBARD      | Monterey Bay Air Resources District                           |
| MOA        | Memorandum of Agreement                                       |
| MOU        | Memorandum of Understanding                                   |
| MPAD       | Monterey Peninsula Airport District                           |
| MPO        | Metropolitan Planning Organization                            |
| MST        | Monterey-Salinas Transit                                      |
| MTP        | Metropolitan Transportation Plan                              |
| MTIP       | Metropolitan Transportation Improvement Program               |
| OWP        | Overall Work Program  |
| PG&E       | Pacific Gas & Electric Company                                |
| PPP        | Public Participation Plan                                     |
| RAPS, Inc. | Regional Analysis & Planning Services, Inc.                   |
| RFP        | Request for Proposal  |
| RHNA       | Regional Housing Needs Allocation                             |
| RTDM       | Regional Travel Demand Model                                  |
| RTP        | Regional Transportation Plan                                  |
| RTPA       | Regional Transportation Planning Agency                       |
| SB 375     | Senate Bill 375   |
| SBtCOG     | Council of San Benito County Governments                      |
| SCCRTC     | Santa Cruz County Regional Transportation Commission          |
| SCMTD      | Santa Cruz Metropolitan Transit District                      |
| SCS        | Sustainable Communities Strategy                              |
| S RTP      | Short-Range Transit Plan                                      |
| STIP       | State Transportation Improvement Program                      |
| TAMC       | Transportation Agency for Monterey County                     |
| TAZ        | Traffic Analysis Zone   |
| USGS       | United States Geological Survey                               |
| VMT        | Vehicle Miles Traveled  |
| VT         | Vehicle Miles Trips   |